

## Nonconforming FEMs for the $p$ -Laplace Problem

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**Abstract.** The  $p$ -Laplace problems in topology optimization eventually lead to a degenerate convex minimization problem  $E(v) := \int_{\Omega} W(\nabla v) dx - \int_{\Omega} f v dx$  for  $v \in W_0^{1,p}(\Omega)$  with unique minimizer  $u$  and stress  $\sigma := DW(\nabla u)$ . This paper proposes the discrete Raviart-Thomas mixed finite element method (dRT-MFEM) and establishes its equivalence with the Crouzeix-Raviart nonconforming finite element method (CR-NCFEM). The sharper quasi-norm a priori and a posteriori error estimates of this two methods are presented. Numerical experiments are provided to verify the analysis.

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**Key words:** Adaptive finite element methods, nonconforming,  $p$ -Laplace problem, dual energy.

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### 1 Introduction

We consider the following nonlinear  $p$ -Laplace problem ( $2 \leq p < \infty$ ) in the bounded Lipschitz domain  $\Omega \subset \mathbb{R}^2$  with the given  $f \in L^q(\Omega)$  ( $q$  conjugate of  $p$ ),

$$\begin{cases} -\operatorname{div}(|\nabla u|^{p-2} \nabla u) = f & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega. \end{cases} \quad (1.1)$$

This type of equation appears in many mathematical models of physical process, nonlinear diffusion and filtration, power-law materials, and viscoelastic materials, see [18, 27] for example. Most of these mathematical modeling are equivalent to the convex minimization problem [15] with energy

$$E(v) := \int_{\Omega} W(\nabla v) dx - F(v) \quad \text{for } v \in V := W_0^{1,p}(\Omega) = \{v \in W^{1,p}(\Omega) : v|_{\partial\Omega} = 0\}. \quad (1.2)$$

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Here and throughout this paper,  $F(v) := \int_{\Omega} f v dx$  and the energy density function  $W: \mathbb{R}^2 \rightarrow \mathbb{R}$  reads  $W(A) := |A|^p/p$  with the derivative  $DW(A) = |A|^{p-2}A$  for all  $A \in \mathbb{R}^2 \setminus \{0\}$  and the dual function

$$W^*(A) := \frac{|A|^q}{q} \quad \left( \frac{1}{p} + \frac{1}{q} = 1 \right). \quad (1.3)$$

Finite element approximation for (1.1) has been extensively studied by many authors, the previous works on a priori and a posteriori error estimations in the conventional  $W^{1,p}(\Omega)$ -norm can be found, for example, in [15, 16, 18, 25, 28]. Sharper a priori error estimates were derived in [4, 17, 20] by developing the quasi-norm techniques, and these techniques were extended to establish improved a posteriori error estimators of residual type for the  $\mathcal{P}_1$  conforming finite element methods (CFEM) and nonconforming finite element methods (NCFEM) [12, 14, 21, 22]. In [19], Kim applied quasinorm techniques to a mixed finite volume method. Nevertheless, the NCFEM analysis of flux  $\sigma := DW(\nabla u)$ , which is important in physical process and also the topic here, is almost not covered in the above references.

This paper focuses on (1.2) and the analysis of flux  $\sigma$ , proposes some simplified mixed finite element method (MFEM) with one-point numerical quadrature and explores some surprising advantages of the novel discrete Raviart-Thomas mixed finite element method (dRT-MFEM). First, the dRT-MFEM is equivalent to the Crouzeix-Raviart nonconforming first-order finite element method (CR-NCFEM). This generalizes the Marini representation [3, 24] and Arbogast [2] from linear and general variable coefficients elliptic PDEs to nonlinear  $p$ -Laplace problems. Second, the quasi-norm convergence analysis of dRT-MFEM (CR-NCFEM) leads to some optimal convergence rates with effective a posteriori error control.

The remaining parts of this paper are organized as follows. Section 2 introduces the precise notation and states the CR-NCFEM and dRT-MFEM for the  $p$ -Laplace problem. Section 3 establishes the equivalence result of dRT-MFEM and CR-NCFEM. The quasi-norm a priori and a posteriori error estimates of CR-NCFEM and dRT-MFEM follow in Section 4 and Section 5. Some numerical experiments conclude the paper in Section 6 with empirical evidence of the superiority of the new NCFEM also for adaptive mesh-refinement.

Standard notation applies throughout this paper to Lebesgue and Sobolev spaces  $L^p(\Omega)$ ,  $H^s(\Omega)$ , and  $H(\text{div}, \Omega)$ , as well as to the associated norms  $\|\cdot\|_{p,\Omega} := \|\cdot\|_{L^p(\Omega)}$ ,  $\|\|\cdot\|\|_{p,\Omega} := \|\nabla \cdot\|_{L^p(\Omega)}$ , and  $\|\|\cdot\|\|_{NC,p,\Omega} := \|\nabla_{NC} \cdot\|_{L^p(\Omega)}$  with the piecewise gradient  $\nabla_{NC} \cdot|_T := \nabla(\cdot|_T)$  for all  $T$  in a regular triangulation  $\mathcal{T}$  of the polygonal Lipschitz domain  $\Omega$ . Here and throughout, " $\cdot$ " denotes the scalar product in  $\mathbb{R}^{m \times n}$  and the expression " $\lesssim$ " abbreviates an inequality up to some multiplicative generic constant, i.e.,  $A \lesssim B$  means  $A \leq CB$  with some generic constant  $0 \leq C < \infty$ , which depends on the interior angles of the triangles but not their sizes.

## 2 Nonconforming FEMs for $p$ -Laplace problem

### 2.1 Triangulations

Let  $\mathcal{T}$  be a regular triangulation of the simply-connected bounded Lipschitz domain  $\Omega \subseteq \mathbb{R}^2$  with polygonal boundary  $\partial\Omega$  into closed triangles. That is, the intersection of two distinct and non-disjoint triangles is either a common node or a common edge. Let  $\mathcal{E}$  denote the set of all edges and  $\mathcal{E}(\Omega)$  (resp.  $\mathcal{E}(\partial\Omega)$ ) denote the set of all interior (resp. boundary) edges,  $\mathcal{N}$  denote the set of vertices and  $\mathcal{N}(\Omega)$  (resp.  $\mathcal{N}(\partial\Omega)$ ) denote the interior (resp. boundary) nodes. For any triangle  $T \in \mathcal{T}$ , set  $h_T := \text{diam}(T)$  and let  $\mathcal{E}(T)$  denote the set of three edges of  $T$ , write  $h_E := \text{diam}(E)$  for an edge  $E \in \mathcal{E}(T)$ . Let

$$\mathcal{P}_k(\mathcal{T}) = \{v_k : \Omega \rightarrow \mathbb{R} \mid \forall T \in \mathcal{T}, v_k|_T \text{ is a polynomial of total degree } \leq k\}$$

denote the set of piecewise polynomials and let  $h_{\mathcal{T}} \in \mathcal{P}_0(\mathcal{T})$  denote the  $\mathcal{T}$ -piecewise constant mesh size function with  $h_{\mathcal{T}}|_T = h_T$  for all  $T \in \mathcal{T}$  and the maximum  $h_{\max} := \|h_{\mathcal{T}}\|_{\infty}$ . Assume that  $\mathcal{T}$  is shape-regular so that  $h_T \approx h_E \approx |T|^{1/2}$  for all  $E \in \mathcal{E}(T)$  and  $T \in \mathcal{T}$ .

Let  $[\cdot]_E := \cdot|_{T_+} - \cdot|_{T_-}$  denote the jump across the common edge  $E = \partial T_+ \cap \partial T_-$  with  $T_+, T_- \in \mathcal{T}$  and unit normal  $\nu_E$  pointing into  $T_-$ . Let  $\Pi_0 : L^q(\Omega) \rightarrow \mathcal{P}_0(\mathcal{T})$  denote the  $L^q$  projection onto  $\mathcal{T}$  piecewise constant, i.e.,  $(\Pi_0 f)|_T = \int_T f dx$  for all  $T \in \mathcal{T}$  (the same notation  $\Pi_0$  is also used for vectors and understood componentwise), and let  $\text{osc}(f, \mathcal{T}) := \|h_{\mathcal{T}}(f - \Pi_0 f)\|_{q, \Omega}$ .

### 2.2 Crouzeix-Raviart nonconforming FEM

The Crouzeix-Raviart finite element space is defined as

$$CR_0^1(\mathcal{T}) := \{v_h \in \mathcal{P}_1(\mathcal{T}) \mid v_h \text{ is continuous at midpoints of interior edges and vanishes at midpoints of boundary edges}\}.$$

The nonconforming FEM is based on  $CR_0^1(\mathcal{T})$  and the nonconforming energy  $E_{NC}$  with  $F_h(\cdot) := F \circ \Pi_0(\cdot) = \int_{\Omega} (\Pi_0 f) \cdot dx$  and

$$E_{NC}(v_{CR}) := \int_{\Omega} W(\nabla_{NC} v_{CR}) dx - F_h(v_{CR}) \quad \text{for } v_{CR} \in CR_0^1(\mathcal{T}). \tag{2.1}$$

The Crouzeix-Raviart finite element approximation  $u_{CR}$  to (1.2) minimizes the energy  $E_{NC}$  in  $CR_0^1(\mathcal{T})$ , written

$$u_{CR} \in \text{argmin}_{CR_0^1(\mathcal{T})} E_{NC}. \tag{2.2}$$

The discrete stress  $\sigma_{CR} := DW(\nabla_{NC} u_{CR})$  is unique, which will be proven in Section 3, while an a priori and a posteriori error analysis follows in Section 4.

### 2.3 Discrete Raviart-Thomas mixed FEM

The dual energy  $E^*$  is defined as

$$E^*(\tau) := - \int_{\Omega} W^*(\tau) dx \quad \text{for } \tau \in L^q(\Omega; \mathbb{R}^2).$$

Here and throughout this paper,  $W^*(A) := \sup_{B \in \mathbb{R}^2} (A \cdot B - W(B))$  denotes the dual of  $W$  [26] and reads as (1.3). The dual problem of (1.2) maximizes the energy  $E^*$  in  $Q(f) := \{\tau \in H(\text{div}, \Omega) \mid f + \text{div}(\tau) = 0 \text{ a.e. in } \Omega\}$ , written

$$\sigma = \text{argmax} E^*(Q(f)).$$

The maximizer  $\sigma$  is unique [18] and  $\sigma := DW(\nabla u)$  for minimizer  $u$  of  $E$  in  $W_0^{1,p}(\Omega)$ .

Define the Raviart-Thomas finite element space

$$RT_0(\mathcal{T}) := \{p \in H(\text{div}, \Omega) \mid \forall T \in \mathcal{T}, \exists a \in \mathbb{R}^2, b \in \mathbb{R}, \forall x \in T, p = a + bx\}$$

and  $Q(f, \mathcal{T}) := \{\tau_{RT} \in RT_0(\mathcal{T}) \mid \Pi_0 f + \text{div}(\tau_{RT}) = 0 \text{ a.e. in } \Omega\}$ . The discrete Raviart-Thomas mixed finite element scheme is based on the one-point numerical quadrature with respect to the center of each triangle and the resulting discrete dual energy  $E_d^* := E^* \circ \Pi_0$ ,

$$E_d^*(\tau_{RT}) = - \int_{\Omega} W^*(\Pi_0 \tau_{RT}) dx \quad \text{for } \tau_{RT} \in Q(f, \mathcal{T}).$$

The discrete Raviart-Thomas mixed finite element approximation  $\sigma_{dRT}$  to the dual solution  $\sigma$  maximizes the energy  $E_d^*$  in  $Q(f, \mathcal{T})$ , written

$$\sigma_{dRT} = \text{argmax} E_d^*(Q(f, \mathcal{T})). \tag{2.3}$$

The strong convexity of  $W^*$  (see Lemma 3.3 below) shows that the maximizer  $\sigma_{dRT}$  is unique in  $Q(f, \mathcal{T})$ . An a priori and a posteriori error analysis follows in Section 5.

### 3 CR-NCFEM is equal to dRT-MFEM

This section is devoted to the equivalence of CR-NCFEM from Subsection 2.2 with dRT-MFEM from Subsection 2.3 as a generalization of the Marini representation from the linear equations [2, 3, 24] to nonlinear convex minimization problems. The equivalence is expressed by the equivalence of  $\sigma_{dRT}$  with some post-processing  $\sigma_{CR}^*$  of  $\sigma_{CR}$ , namely

$$\sigma_{CR}^* := \sigma_{CR} - \frac{\Pi_0 f}{2} (\cdot - \text{mid}(\mathcal{T})) \in \mathcal{P}_1(\mathcal{T}; \mathbb{R}^2).$$

Here and throughout this paper, the piecewise affine function  $\cdot - \text{mid}(\mathcal{T}) \in \mathcal{P}_1(\mathcal{T})$  equals  $x - \text{mid}(T)$  at  $x \in T \in \mathcal{T}$  with barycenter  $\text{mid}(T)$ .

**Theorem 3.1** (CR-NCFEM = dRT-MFEM with no discrete duality gap). *It holds  $\sigma_{CR}^* = \sigma_{dRT}$  and  $\max E_d^*(Q(f, \mathcal{T})) = \min E_{NC}(CR_0^1(\mathcal{T}))$ .*

The remaining parts of this section are devoted to the proof of Theorem 3.1 which is based on the following lemmas and the Crouzeix-Raviart interpolation operator  $I_{NC} : W_0^{1,p}(\Omega) \rightarrow CR_0^1(\mathcal{T})$ , ( $2 \leq p \leq \infty$ ),

$$(I_{NC}v)(\text{mid}(E)) := \int_E v ds \quad \text{for all } E \in \mathcal{E}.$$

**Lemma 3.1** (Property of the Crouzeix-Raviart interpolant, see [9,10,15]). *Any  $v \in W^{1,p}(\Omega)$  with its interpolation  $I_{NC}v$  and the constant  $\kappa$  satisfy  $\nabla_{NC}(I_{NC}v) = \Pi_0 \nabla v$  and*

$$\|v - I_{NC}v\|_{p,\Omega} \leq \kappa \|h_{\mathcal{T}}(I - \Pi_0)\nabla v\|_{p,\Omega} \leq \kappa \|h_{\mathcal{T}}\nabla v\|_{p,\Omega}.$$

**Lemma 3.2** (Conforming  $\mathcal{P}_3$  companion, see [13]). *Given any  $v_{CR} \in CR_0^1(\mathcal{T})$ , there exists some  $v_3 \in \mathcal{P}_3(\mathcal{T}) \cap W_0^{1,p}(\Omega)$  with  $v_{CR} = I_{NC}v_3$ ,  $\Pi_0 v_{CR} = \Pi_0 v_3$ , and*

$$\|h_{\mathcal{T}}^{-1}(v_{CR} - v_3)\|_{p,\Omega} + \|v_{CR} - v_3\|_{NC,p,\Omega} \lesssim \min_{v \in V} \|v - v_{CR}\|_{NC,p,\Omega}.$$

The subdifferential  $\partial W^*$  of  $W^*$  [26] is uniformly convex.

**Lemma 3.3.** *Given  $2 \leq p < \infty$  and the conjugate  $q$ , there exists a positive constant  $c(p)$  such that for any  $a, b \in \mathbb{R}^2 \setminus \{0\}$ ,  $\alpha := DW(a)$ ,  $\beta := DW(b)$  satisfy*

$$\frac{1}{(|\alpha|^{2-q} + |\beta|^{2-q})} |\alpha - \beta|^2 \leq c(p)(W(b) - W(a) - \alpha \cdot (b - a)). \tag{3.1}$$

Any  $\alpha, \beta \in \mathbb{R}^2 \setminus \{0\}$  and any  $b \in \partial W^*(\beta)$  satisfy

$$\frac{1}{(|\alpha|^{2-q} + |\beta|^{2-q})} |\alpha - \beta|^2 \leq c(p)(W^*(\alpha) - W^*(\beta) - b \cdot (\alpha - \beta)). \tag{3.2}$$

*Proof.* Given  $a, b \in \mathbb{R}^2 \setminus \{0\}$  with  $a \neq b$ , set  $t := |b|/|a|$  and  $g := a \cdot b / (|a| \cdot |b|)$  ( $-1 \leq g \leq 1$ ), the paper [6, Lemma3.1] shows

$$\begin{aligned} & \frac{1}{(|a|^{p-2} + |b|^{p-2})(W(b) - W(a) - \alpha \cdot (b - a))} |\alpha - \beta|^2 \\ &= \frac{1 + t^{2(p-1)} - 2gt^{p-1}}{(1 + t^{p-2})(t^p/p + 1/q - gt)} := f(t, g). \end{aligned} \tag{3.3}$$

The formula  $DW(a) = |a|^{p-2}a$  implies that  $|\alpha| = |a|^{p-1}$  and  $|\beta| = |b|^{p-1}$ . The combination with conjugate property leads to  $|a|^{p-2} = |\alpha|^{2-q}$  and  $|b|^{p-2} = |\beta|^{2-q}$  ( $0 \leq 2 - q < 1$ ), and the left side of (3.3) is rewritten as

$$\frac{1}{(|\alpha|^{2-q} + |\beta|^{2-q})(W(b) - W(a) - \alpha \cdot (b - a))} |\alpha - \beta|^2.$$

A direct calculation verifies that  $\partial f / \partial g$  as a function of  $g$  has one sign (which depends on  $t$  and  $p$ ), hence it is monotone increasing or decreasing. Therefore for all  $0 < t < \infty$ , there exists a constant  $c(p)$  satisfies

$$\min\{f(t,1), f(t,-1)\} \leq c(p) := \max\{f(t,1), f(t,-1)\} < \infty.$$

The case  $g = 1$  is the crucial one because  $t^p / p + 1 / q - t$  vanishes for  $t = 1$ ,

$$f(t,1) = \frac{(1 - t^{p-1})^2}{(1 + t^{p-2})(t^p / p + 1 / q - t)}.$$

L'Hospital rule yields  $f(1,1) = p - 1 > 0$ . The monotone decreasing and monotone increasing of  $t^p / p + 1 / q - t$  on  $(0,1)$  and  $(1,\infty)$  show that  $t^p / p + 1 / q - t > 0$ , that is  $f(t,1) > 0$ . The analysis of  $f(t,-1) > 0$  is simpler and hence omitted, hence  $c(p) > 0$ . The (3.1) is proved, which is also known as convexity control of  $W$ .

The duality in convex analysis shows that the relation  $\alpha = DW(a)$  is equivalent to  $W^*(\alpha) + W(a) = a \cdot \alpha$  [26, Theorem 23.5]. This implies

$$W^*(\alpha) + W(a) = a \cdot \alpha \quad \text{and} \quad W^*(\beta) + W(b) = b \cdot \beta.$$

The combination with (3.1) concludes the proof of (3.2). □

**Remark 3.1.** The basic calculation can prove that  $0 < c(p) < 2p$ .

Define the weighed norm

$$|\langle \alpha, \beta \rangle|_q := \sqrt{\int_{\Omega} \frac{1}{(|\alpha|^{2-q} + |\beta|^{2-q})} |\alpha - \beta|^2 dx}. \tag{3.4}$$

The following lemma shows that the defined norm (3.4) is a quasi-norm

**Lemma 3.4.** *It holds that*

- (i)  $|\langle \alpha, \beta \rangle|_q \geq 0$ , and  $|\langle \alpha, \beta \rangle|_q = 0$  if and only if  $\alpha = \beta$ .
- (ii)  $\forall a_1, a_2, b_1, b_2 \in \mathbb{R}^2 \setminus \{0\}$ ,  $\alpha_1 := DW(a_1)$ ,  $\beta_1 := DW(b_1)$ ,  $\alpha_2 := DW(a_2)$ ,  $\beta_2 := DW(b_2)$ ,  $|\langle \alpha_1 + \alpha_2, \beta_1 + \beta_2 \rangle|_q \leq 2^{\frac{q-1}{2}} (|\langle \alpha_1, \beta_1 \rangle|_q + |\langle \alpha_2, \beta_2 \rangle|_q)$ .

*Proof.* (i) According to the expression, it is easy to prove that  $|\langle \alpha, \beta \rangle|_q \geq 0$ .  $|\langle \alpha, \beta \rangle|_q = 0$ , that is

$$\left( \int_{\Omega} \frac{|\alpha - \beta|^2}{|\alpha|^{2-q} + |\beta|^{2-q}} dx \right)^{\frac{1}{2}} = 0,$$

if and only if  $\alpha = \beta$ .

(ii) For  $\forall x, y \in \mathbb{R}^2 \setminus \{0\}$ , define

$$f(x,y) = \frac{|x-y|^2}{|x|^m + |y|^m}, \quad (0 \leq m < 1). \tag{3.5}$$

A direct calculation shows that

$$\left\{ \begin{aligned} f_{xx} &= \frac{2m^2x^2|x|^{2m-4}(x-y)^2}{(|x|^m+|y|^m)^3} + \frac{2}{|x|^m+|y|^m} - \frac{2mx|x|^{m-2}(2x-2y)}{(|x|^m+|y|^m)^2} \\ &\quad - \frac{m(m-1)x^2|x|^{m-4}(x-y)^2}{(|x|^m+|y|^m)^2}, \\ f_{xy} &= \frac{mx|x|^{m-2}(2x-2y)}{(|x|^m+|y|^m)^2} - \frac{2}{|x|^m+|y|^m} - \frac{my|y|^{m-2}(2x-2y)}{(|x|^m+|y|^m)^2} \\ &\quad + \frac{2m^2x|x|^{m-2}y|y|^{m-2}(x-y)^2}{(|x|^m+|y|^m)^3}, \\ f_{yy} &= \frac{2m^2y^2|y|^{2m-4}(x-y)^2}{(|x|^m+|y|^m)^3} + \frac{2}{|x|^m+|y|^m} + \frac{2my|y|^{m-2}(2x-2y)}{(|x|^m+|y|^m)^2} \\ &\quad - \frac{m(m-1)y^2|y|^{m-4}(x-y)^2}{(|x|^m+|y|^m)^2}. \end{aligned} \right.$$

We can rewrite  $f_{xx}$  and  $f_{xx} \cdot f_{yy} - f_{xy}^2$  as

$$f_{xx} = \frac{1}{(|x|^m+|y|^m)^3} \left\{ 2[mx|x|^{m-2}(x-y) - (|x|^m+|y|^m)]^2 - m(m-1)x^2|x|^{m-4}(x-y)^2(|x|^m+|y|^m) \right\}$$

and

$$\begin{aligned} & f_{xx} \cdot f_{yy} - f_{xy}^2 \\ &= \frac{1}{(|x|^m+|y|^m)^6} \left\{ m^2(m-1)^2x^2|x|^{m-4}y^2|y|^{m-4}(x-y)^4 \right. \\ &\quad - 2m(m-1)x^2|x|^{m-4}(|x|^m+|y|^m)(x-y)^2[my|y|^{m-2}(x-y) + (|x|^m+|y|^m)]^2 \\ &\quad \left. - 2m(m-1)y^2|y|^{m-4}(|x|^m+|y|^m)(x-y)^2[mx|x|^{m-2}(x-y) - (|x|^m+|y|^m)]^2 \right\}. \end{aligned}$$

Since  $0 \leq m < 1$  and  $-m(m-1) > 0$ , hence  $f_{xx} > 0$ ,  $f_{xx} \cdot f_{yy} - f_{xy}^2 > 0$ , which imply that the  $f(x,y)$  is a convex function.

Take  $x := \alpha$ ,  $y := \beta$  in (3.5) and the Jensen's inequality shows that

$$\begin{aligned} & \int_{\Omega} \frac{1}{|\frac{\alpha_1+\alpha_2}{2}|^m + |\frac{\beta_1+\beta_2}{2}|^m} \left| \frac{\alpha_1+\alpha_2}{2} - \frac{\beta_1+\beta_2}{2} \right|^2 dx \\ & \leq \frac{1}{2} \left[ \int_{\Omega} \frac{|\alpha_1-\beta_1|^2}{|\alpha_1|^m + |\beta_1|^m} dx + \int_{\Omega} \frac{|\alpha_2-\beta_2|^2}{|\alpha_2|^m + |\beta_2|^m} dx \right]. \end{aligned}$$

That is

$$\begin{aligned} & \int_{\Omega} \frac{1}{|\alpha_1 + \alpha_2|^m + |\beta_1 + \beta_2|^m} |(\alpha_1 + \alpha_2) - (\beta_1 + \beta_2)|^2 dx \\ & \leq \frac{1}{2^{m-1}} \left[ \int_{\Omega} \frac{|\alpha_1 - \beta_1|^2}{|\alpha_1|^m + |\beta_1|^m} dx + \int_{\Omega} \frac{|\alpha_2 - \beta_2|^2}{|\alpha_2|^m + |\beta_2|^m} dx \right]. \end{aligned} \tag{3.6}$$

The combination of (3.4) and  $m := 2 - q$  in (3.6) concludes the proof. □

**Lemma 3.5** (Uniqueness of  $\sigma_{CR}$ ). *The discrete stress  $\sigma_{CR}$  is unique and satisfies the discrete Euler-Lagrange equation in the sense that*

$$\int_{\Omega} \sigma_{CR} \cdot \nabla_{NC} v_{CR} dx = \int_{\Omega} (\Pi_0 f) v_{CR} dx \quad \text{for } v_{CR} \in CR_0^1(\mathcal{T}).$$

*Proof.* For any  $0 < \varepsilon < 1$  and any  $v_{CR} \in CR_0^1(\mathcal{T})$ , let

$$\delta_{\varepsilon}(x) := \frac{W(\nabla_{NC} u_{CR}(x) + \varepsilon \nabla_{NC} v_{CR}(x)) - W(\nabla_{NC} u_{CR}(x))}{\varepsilon} \quad \text{for all } x \in \Omega.$$

Since  $u_{CR}$  is a minimizer,

$$0 \leq \frac{E_{NC}(u_{CR} + \varepsilon v_{CR}) - E_{NC}(u_{CR})}{\varepsilon} = \int_{\Omega} \delta_{\varepsilon}(x) dx - F_h(v_{CR}). \tag{3.7}$$

Since  $W$  is smooth, it follows for almost every  $x \in \Omega$ , that

$$\begin{aligned} |\delta_{\varepsilon}(x)| &= \left| \frac{1}{\varepsilon} \int_0^1 \frac{DW(\nabla_{NC} u_{CR}(x) + \varepsilon s \nabla_{NC} v_{CR}(x))}{\partial s} ds \right| \\ &\leq \int_0^1 |DW(\nabla_{NC} u_{CR}(x) + \varepsilon s \nabla_{NC} v_{CR}(x)) \cdot \nabla_{NC} v_{CR}(x)| ds. \end{aligned}$$

The formula  $|DW(A)| = ||A|^{p-2}A| = |A|^{p-1}$  and the Young inequality imply that

$$\begin{aligned} |\delta_{\varepsilon}(x)| &\leq \int_0^1 |\nabla_{NC} u_{CR}(x) + \varepsilon s \nabla_{NC} v_{CR}(x)|^{p-1} |\nabla_{NC} v_{CR}(x)| ds \\ &\lesssim |\nabla_{NC} v_{CR}(x)|^p + |\nabla_{NC} u_{CR}(x)|^p. \end{aligned}$$

The Lemma 3.2 imply that  $\int_{\Omega} (|\nabla_{NC} v_{CR}(x)|^p + |\nabla_{NC} u_{CR}(x)|^p) dx$  exists, hence the Lebesgue dominate convergence theorem guarantees

$$\lim_{\varepsilon \rightarrow 0} \int_{\Omega} \delta_{\varepsilon}(x) dx = \int_{\Omega} DW(\nabla_{NC} u_{CR}) \cdot \nabla_{NC} v_{CR} dx.$$

This and (3.7) imply

$$0 \leq \int_{\Omega} DW(\nabla_{NC} u_{CR}) \cdot \nabla_{NC} v_{CR} dx - F_h(v_{CR}).$$



Since  $v_{CR}$  is arbitrary in  $CR_0^1(\mathcal{T})$ , this proves the asserted discrete Euler-Lagrange equation.

The uniqueness of  $u_{CR}$  leads to the uniqueness of the stress  $\sigma_{CR} = DW(\nabla_{NC} u_{CR})$ . This concludes the proof.  $\square$

**Lemma 3.6** (see [13]). *It holds  $\sigma_{CR}^* \in Q(f, \mathcal{T}) \subseteq H(\text{div}, \Omega)$ .*

*Proof of Theorem 3.1.* For minimizer  $u_{CR}$  of (2.2), the duality relation  $\sigma_{CR} = DW(\nabla_{NC} u_{CR})$  implies that  $\nabla_{NC} u_{CR} \in \partial W^*(\sigma_{CR})$ . The choice of  $\alpha := \Pi_0 \sigma_{dRT}|_T = \sigma_{dRT}(\text{mid}(T))$ ,  $\beta := \Pi_0 \sigma_{CR}^* = \sigma_{CR}$ , and  $b := \nabla_{NC} u_{CR}$  in Lemma 3.3 leads to

$$\begin{aligned} & |\langle \Pi_0 \sigma_{dRT}, \Pi_0 \sigma_{CR}^* \rangle|_q^2 \\ & \leq c(p) \int_{\Omega} W^*(\Pi_0 \sigma_{dRT}) - W^*(\Pi_0 \sigma_{CR}^*) - \nabla_{NC} u_{CR} \cdot (\sigma_{dRT} - \sigma_{CR}) dx \\ & \leq c(p) (E^*(\Pi_0 \sigma_{CR}^*) - E^*(\Pi_0 \sigma_{dRT}) - \int_{\Omega} \nabla_{NC} u_{CR} \cdot (\sigma_{dRT} - \sigma_{CR}) dx). \end{aligned}$$

An integration by parts and Lemma 3.5 with  $\sigma_{dRT} \in Q(f, \mathcal{T})$  show that the last term vanishes. This and  $E_d^* := E^* \circ \Pi_0$  prove

$$|\langle \Pi_0 \sigma_{dRT}, \Pi_0 \sigma_{CR}^* \rangle|_q^2 \leq c(p) (E_d^*(\sigma_{CR}^*) - E_d^*(\sigma_{dRT})).$$

Since  $c(p) > 0$  for all  $p \geq 2$ ,  $\sigma_{dRT} \in \text{argmax}_{E_d^*} (Q(f, \mathcal{T}))$  and  $\sigma_{CR}^* \in Q(f, \mathcal{T})$ , the upper bound is non-positive. Hence,  $\Pi_0 \sigma_{dRT} = \sigma_{CR}$  and  $E_d^*(\sigma_{CR}^*) = E_d^*(\sigma_{dRT})$ .

The duality relation  $\sigma_{CR} = DW(\nabla_{NC} u_{CR})$  with the minimizer  $u_{CR}$  of (2.2) is equivalent to

$$W^*(\sigma_{CR}) + W(\nabla_{NC} u_{CR}) = \sigma_{CR} \cdot \nabla_{NC} u_{CR}.$$

An integration of this reads

$$\int_{\Omega} W(\nabla_{NC} u_{CR}) dx - \int_{\Omega} \sigma_{CR} \cdot \nabla_{NC} u_{CR} dx = - \int_{\Omega} W^*(\sigma_{CR}) dx.$$

The definition of  $E_{NC}$  and Lemma 3.5 shows that the left-hand side equals  $E_{NC}(u_{CR})$ . Moreover,

$$- \int_{\Omega} W^*(\sigma_{CR}) dx = - \int_{\Omega} W^*(\Pi_0 \sigma_{dRT}) dx = E_d^*(\sigma_{dRT}).$$

Hence,  $E^*(\sigma_{CR}) = E_d^*(\sigma_{dRT}) = E_{NC}(u_{CR})$ . This concludes the proof.  $\square$

## 4 Error analysis of Crouzeix-Raviart NCFEM

This section analyzes the error estimates of the Crouzeix-Raviart NCFEM.

### 4.1 A priori error analysis

The following Theorem 4.1 guarantees the convergence estimates of the Crouzeix-Raviart NCFEM.

**Theorem 4.1.** (A priori error estimate). The discrete stress  $\sigma_{CR}$  satisfies

$$\begin{aligned} & |\langle \sigma, \sigma_{CR} \rangle|_q^2 \\ & \leq c(p) \max \left\{ \text{osc}(f, \mathcal{T}) \|u\|_{p,\Omega}, \left( \kappa \cdot \text{osc}(f, \mathcal{T}) \right. \right. \\ & \quad \left. \left. + \sup_{x \in \Omega} (|\sigma|^{1-q/2} + |\Pi_0 \sigma|^{1-q/2}) |\langle \sigma, \Pi_0 \sigma \rangle|_q \right) \|u - u_{CR}\|_{NC,p,\Omega} \right\}. \end{aligned}$$

*Proof.* The choice  $a := \nabla_{NC} u_{CR}$ ,  $b := \nabla u$ , and  $\alpha := \sigma_{CR}$  in Lemma 3.3 leads to

$$|\langle \sigma, \sigma_{CR} \rangle|_q^2 \leq c(p) \left( E(u) - E_{NC}(u_{CR}) + F(u - u_{CR}) - \int_{\Omega} \sigma_{CR} \cdot \nabla_{NC}(u - u_{CR}) dx \right).$$

Since  $\sigma_{CR} \in \mathcal{P}_0(\mathcal{T}; \mathbb{R}^2)$  and

$$\int_{\Omega} \sigma_{CR} \cdot \nabla u dx = \int_{\Omega} \sigma_{CR} \cdot \nabla_{NC} I_{NC} u dx = F_h(I_{NC} u).$$

The combination with the previous estimate verifies

$$|\langle \sigma, \sigma_{CR} \rangle|_q^2 + c(p) \left( E_{NC}(u_{CR}) - E(u) \right) \leq c(p) \left( F(u) - F_h(I_{NC} u) \right). \tag{4.1}$$

The choice  $a := \nabla u$ ,  $b := \nabla_{NC} u_{CR}$ , and  $\alpha := \sigma$  in Lemma 3.3 leads to

$$|\langle \sigma, \sigma_{CR} \rangle|_q^2 + c(p) \left( E(u) - E_{NC}(u_{CR}) \right) \leq c(p) \left( F(u_{CR}) - \int_{\Omega} \sigma \cdot \nabla_{NC} u_{CR} dx \right).$$

The conforming  $\mathcal{P}_3$  companion  $u_3 \in \mathcal{P}_3(\mathcal{T}) \cap V$  with  $u_{CR} = I_{NC} u_3$  shows

$$\begin{aligned} - \int_{\Omega} \sigma \cdot \nabla_{NC} u_{CR} dx &= - \int_{\Omega} \sigma \cdot \nabla u_3 dx + \int_{\Omega} \sigma \cdot \nabla_{NC} (u_3 - I_{NC} u_3) dx \\ &= -F(u_3) + \int_{\Omega} (I - \Pi_0) \sigma \cdot (I - \Pi_0) \nabla u_3 dx. \end{aligned}$$

The combination of the preceding estimates results in

$$\begin{aligned} & |\langle \sigma, \sigma_{CR} \rangle|_q^2 + c(p) \left( E(u) - E_{NC}(u_{CR}) \right) \\ & \leq c(p) \left( F(I_{NC} u_3 - u_3) + \int_{\Omega} (I - \Pi_0) \sigma \cdot (I - \Pi_0) \nabla u_3 dx \right). \end{aligned} \tag{4.2}$$

The (4.1)-(4.2) imply that

$$\begin{aligned} & |\langle \sigma, \sigma_{CR} \rangle|_q^2 + c(p) |E(u) - E_{NC}(u_{CR})| \\ & \leq c(p) \max \left\{ F(u) - F_h(I_{NC}u), F(I_{NC}u_3 - u_3) + \int_{\Omega} (I - \Pi_0)\sigma \cdot (I - \Pi_0)\nabla u_3 dx \right\}. \end{aligned} \quad (4.3)$$

The Hölder inequality and Lemma 3.1 prove

$$\begin{aligned} F(I_{NC}u_3 - u_3) &= \int_{\Omega} (f - \Pi_0 f)(I_{NC}u_3 - u_3) dx \leq \kappa \operatorname{osc}(f, \mathcal{T}) \|u_3 - I_{NC}u_3\|_{NC,p,\Omega}, \\ F(u) - F_h(I_{NC}u) &= \int_{\Omega} f(u - I_{NC}u) dx + \int_{\Omega} (f - \Pi_0 f) I_{NC}u dx \\ &= \int_{\Omega} (f - \Pi_0 f)(u - I_{NC}u) dx + \int_{\Omega} (f - \Pi_0 f) I_{NC}u dx \\ &\leq \operatorname{osc}(f, \mathcal{T}) \|u\|_{p,\Omega} \\ &\quad + \int_{\Omega} (I - \Pi_0)\sigma \cdot (I - \Pi_0)\nabla u_3 dx \\ &\leq |\langle \sigma, \Pi_0 \sigma \rangle|_q \cdot \left( \int_{\Omega} (|\sigma|^{2-q} + |\Pi_0 \sigma|^{2-q}) |(I - \Pi_0)\nabla u_3|^2 dx \right)^{1/2}. \end{aligned}$$

This and Lemma 3.2 prove the assertion. □

#### 4.2 A posteriori error analysis

This subsection is devoted to an a posteriori error analysis of the CR-NCFEM. The error analysis is based on the boundness of minimizers. Recall that any  $v \in W_0^{1,p}(\Omega)$  satisfies the Friedrichs inequality

$$\|v\|_{p,\Omega} \leq C_F \|v\|_{p,\Omega}$$

with  $C_F \leq \text{width}(\Omega)/\pi$ . Any  $v_{CR} \in CR_0^1(\mathcal{T})$  satisfies the discrete Friedrichs inequality (see [5, pp. 301]) with some constant  $C_{dF} \approx 1$

$$\|v_{CR}\|_{p,\Omega} \leq C_{dF} \|v_{CR}\|_{NC,p,\Omega}.$$

**Theorem 4.2** (A posteriori error estimate). *The discrete stress  $\sigma_{CR}$  and the constants  $C_1 := 2c(p)C_{\mathcal{P}}^{p-2} \|f\|_{q,\Omega}^{\frac{p-2}{p-1}}$  and  $C_2 := C_F C_{\mathcal{P}} \|f\|_{q,\Omega}^{\frac{1}{p-1}}$  satisfy*

$$\frac{1}{2} |\langle \sigma, \sigma_{CR} \rangle|_q^2 \leq c(p) \max \left\{ F(u_{CR} - u_3) + C_1 \|u_{CR} - u_3\|_{NC,2,\Omega}^2, C_2 \cdot \operatorname{osc}(f, \mathcal{T}) \right\}, \quad (4.4)$$

where  $C_{\mathcal{P}} := (p \cdot C_F)^{\frac{1}{p-1}}$ .

*Proof.* The energy density  $W(A) = \frac{|A|^p}{p}$  and the Friedrichs inequality shows that

$$\frac{1}{p} \|u\|_{p,\Omega}^p - C_F \|f\|_{q,\Omega} \|u\|_{p,\Omega} \leq E(u).$$

Since  $E(u) \leq E(0) = 0$ , this implies

$$\|u\|_{p,\Omega} \leq (pC_F \|f\|_{q,\Omega})^{\frac{1}{p-1}}. \quad (4.5)$$

Recall that  $|\nabla u|^{p-2} = |\sigma|^{2-q}$ , The estimate (4.3) and the Hölder inequality imply

$$\begin{aligned} & |\langle \sigma, \sigma_{CR} \rangle|_q^2 \\ & \leq c(p) \max \left\{ C_F \cdot \text{osc}(f, \mathcal{T}) \|u\|_{p,\Omega}, F(u_{CR} - u_3) \right. \\ & \quad \left. + 2 \sup_{x \in \Omega} |\nabla u|^{p/2-1} |\langle \sigma, \Pi_0 \sigma \rangle|_q \|u_{CR} - u_3\|_{NC,2,\Omega} \right\}. \end{aligned}$$

The Young inequality shows

$$\begin{aligned} & 2c(p) \sup_{x \in \Omega} |\nabla u|^{p/2-1} |\langle \sigma, \Pi_0 \sigma \rangle|_q \|u_{CR} - u_3\|_{NC,2,\Omega} \\ & \leq \frac{1}{2} |\langle \sigma, \Pi_0 \sigma \rangle|_q^2 + 2c^2(p) \sup_{x \in \Omega} |\nabla u|^{p-2} \|u_{CR} - u_3\|_{NC,2,\Omega}^2. \end{aligned}$$

The combination of preceding displayed inequalities concludes the proof.  $\square$

## 5 Error analysis of dRT MFEM

This section analyzes the error of the discrete Raviart-Thomas MFEM.

### 5.1 A priori error analysis

Theorem 3.1 and Theorem 4.1 allow an immediate a priori error estimate.

**Theorem 5.1** (A priori error estimate). *The discrete stress  $\sigma_{dRT}$  satisfies*

$$\begin{aligned} & |\langle \sigma, \sigma_{dRT} \rangle|_q^2 \\ & \leq \frac{1}{2^{1-q}} \|h_{\mathcal{T}}(\Pi_0 f)\|_{q,\Omega}^q + \frac{c(p)}{2^{1-q}} \max \left\{ \text{osc}(f, \mathcal{T}) \|u\|_{p,\Omega}, \left( \kappa \cdot \text{osc}(f, \mathcal{T}) \right. \right. \\ & \quad \left. \left. + 2 \sup_{x \in \Omega} |\nabla u|^{p/2-1} |\langle \sigma, \Pi_0 \sigma \rangle|_q \right) \|u - u_{CR}\|_{NC,p,\Omega} \right\}. \end{aligned}$$

*Proof.* The Lemma 3.4 and Theorem 3.1 lead to

$$\begin{aligned} |\langle \sigma, \sigma_{dRT} \rangle|_q^2 &\leq \frac{1}{2^{1-q}} \left( |\langle \sigma, \sigma_{CR} \rangle|_q^2 + \left| \left\langle \frac{\Pi_0 f}{2} (\cdot - \text{mid}(\mathcal{T})), 0 \right\rangle \right|_q^2 \right) \\ &\leq \frac{1}{2^{1-q}} (|\langle \sigma, \sigma_{CR} \rangle|_q^2 + \|h_{\mathcal{T}}(\Pi_0 f)\|_{q,\Omega}^q). \end{aligned} \tag{5.1}$$

This and Theorem 4.1 conclude the proof. □

The further a posteriori error analysis requires that the arising subgradients are the piecewise gradients of minimizers of  $E_{NC}$  in  $CR_0^1(\mathcal{T})$ , that is  $\Pi_0(-\partial E_d^*(\sigma_{dRT})) = \nabla_{NC} u_{CR}$  (refer to [13]).

### 5.2 A posteriori error analysis

This subsection is devoted to an a posteriori error analysis of the dRT-MFEM.

**Theorem 5.2** (A posteriori error estimate). *The discrete stress  $\sigma_{dRT}$  and the constants  $C_2 := C_F C_{\mathcal{P}} \|f\|_{q,\Omega}^{\frac{1}{p-1}}$  and  $M := C_{\mathcal{P}}^{p-2} \|f\|_{q,\Omega}^{\frac{p-2}{p-1}} + C_{d\mathcal{P}}^{p-2} \|f\|_{q,\Omega}^{\frac{p-2}{p-1}}$  satisfy*

$$\begin{aligned} \frac{1}{2} |\langle \sigma, \sigma_{dRT} \rangle|_q^2 &\leq \frac{1}{2^{1-q}} \|h_{\mathcal{T}} f\|_{q,\Omega}^q + \frac{c(p)}{2^{1-q}} \max \left\{ \frac{\|u_3\|_{p,\Omega}}{j_{1,1}} \text{osc}(f, \mathcal{T}) \right. \\ &\quad \left. + \frac{c(p)}{2^{2-q}} M \|I_{NC} u_3 - u_3\|_{NC,2,\Omega}^2, C_2 \cdot \text{osc}(f, \mathcal{T}) \right\}. \end{aligned} \tag{5.2}$$

*Proof.* The choice  $\alpha := \sigma$ ,  $\beta := \Pi_0 \sigma_{dRT} = \sigma_{CR}$ , and  $b := \nabla_{NC} u_{CR}$  in Lemma 3.3 leads to

$$|\langle \sigma, \Pi_0 \sigma_{dRT} \rangle|_q^2 + c(p)(E^*(\sigma) - E_d^*(\sigma_{dRT})) \leq -c(p) \int_{\Omega} \nabla_{NC} u_{CR} \cdot (\sigma - \Pi_0 \sigma_{dRT}) dx.$$

The conforming  $\mathcal{P}_3$  companion  $u_3 \in \mathcal{P}_3(\mathcal{T}) \cap V$  with  $u_{CR} = I_{NC} u_3$  from Lemma 3.2 shows

$$\begin{aligned} & - \int_{\Omega} (\sigma - \Pi_0 \sigma_{dRT}) \cdot \nabla_{NC} u_{CR} dx \\ &= - \int_{\Omega} (\sigma - \sigma_{dRT}) \cdot \nabla_{NC} (I_{NC} u_3 - u_3) dx - \int_{\Omega} (\sigma - \sigma_{dRT}) \cdot \nabla u_3 dx \\ &= \int_{\Omega} (\sigma - \sigma_{dRT}) \cdot (I - \Pi_0) \nabla u_3 dx + \int_{\Omega} u_3 \text{div}(\sigma - \sigma_{dRT}) dx. \end{aligned}$$

The combination of the preceding results reads

$$\begin{aligned} & |\langle \sigma, \sigma_{CR} \rangle|_q^2 + c(p)(E^*(\sigma) - E_d^*(\sigma_{dRT})) \\ & \leq c(p) \left( \int_{\Omega} (\sigma - \sigma_{dRT}) \cdot (I - \Pi_0) \nabla u_3 dx - \int_{\Omega} (u_3 - \Pi_0 u_3) (I - \Pi_0) f dx \right). \end{aligned} \tag{5.3}$$

The sum of (5.3) and (4.1) plus Theorem 3.1 show that

$$\begin{aligned} & |\langle \sigma, \sigma_{CR} \rangle|_q^2 + c(p) |E^*(\sigma) - E_d^*(\sigma_{dRT})| \\ & \leq c(p) \max \left\{ F(u) - F_h(I_{NC}u), \int_{\Omega} (\sigma - \sigma_{dRT}) \cdot (I - \Pi_0) \nabla u_3 dx - \int_{\Omega} (u_3 - \Pi_0 u_3) (I - \Pi_0) f dx \right\}. \end{aligned}$$

The inequality (5.1) implies

$$\begin{aligned} |\langle \sigma, \sigma_{dRT} \rangle|_q^2 & \leq \frac{1}{2^{1-q}} \|h_{\mathcal{T}} f\|_{q,\Omega}^q + \frac{c(p)}{2^{1-q}} \max \left\{ F(u) - F_h(I_{NC}u), \int_{\Omega} (\sigma - \sigma_{dRT}) \cdot (I - \Pi_0) \nabla u_3 dx \right. \\ & \quad \left. - \int_{\Omega} (u_3 - \Pi_0 u_3) (I - \Pi_0) f dx \right\}. \end{aligned}$$

A piecewise Poincaré inequality applies in the last term with the constant  $h_T/j_{1,1}$  from [23]. This shows that

$$- \int_{\Omega} (u_3 - \Pi_0 u_3) (f - \Pi_0 f) dx \leq \frac{\|u_3\|_{p,\Omega}}{j_{1,1}} \text{osc}(f, \mathcal{T}). \tag{5.4}$$

The Young inequality and  $\Pi_0 \nabla u_3 = \nabla_{NC} I_{NC} u_3$  show that

$$\begin{aligned} & \frac{c(p)}{2^{1-q}} \int_{\Omega} (\sigma - \sigma_{dRT}) \cdot (I - \Pi_0) \nabla u_3 dx \\ & \leq \frac{1}{2} |\langle \sigma, \sigma_{dRT} \rangle|_q^2 + \frac{c^2(p)}{2^{3-2q}} \sup_{x \in \Omega} (|\sigma|^{2-q} + |\sigma_{dRT}|^{2-q}) \|I_{NC} u_3 - u_3\|_{NC,2,\Omega}^2. \end{aligned}$$

Recall that  $\Pi_0(-\partial E_d^*(\sigma_{dRT})) = \nabla_{NC} u_{CR}$ . This and  $|\nabla u|^{p-2} = |\sigma|^{2-q}$ ,  $|\nabla u_{CR}|^{p-2} = |\sigma_{CR}|^{2-q}$  conclude the proof.  $\square$

## 6 Numerical experiments

This section is devoted to the numerical investigation of the lowest-order schemes of NCFEM and dRT-MFEM for the  $p$ -Laplace Problem on square domain and L-shaped domain.

### 6.1 Numerical realization

The edge-oriented basis functions  $\psi_E$  for any interior edge  $E \in \mathcal{E}(\Omega)$  in the triangulation  $\mathcal{T}$  and their enumeration  $\psi_1, \dots, \psi_m$  at hand allows for the representation  $u_{CR} = \sum_{j=1}^m x_j \psi_j$  with the unknown coefficient vector  $x = (x_1, \dots, x_m)$ . The data structures and the discrete Euler-Lagrange equations are realized as in [7] and then minimized with the Matlab standard function `fminunc` and default parameters and the input of  $E_{NC}$ ,  $DE_{NC}$ , and  $D^2E_{NC}$  at  $x$ .

### 6.2 A posteriori error control

The numerical experiments concern the practical application of the a posteriori error estimates (4.4) and (5.2) and their efficiency. Denote the left-hand side (LHS) of the two estimates by  $LHS(4.4)$  and  $LHS(5.2)$ . The guaranteed upper bounds (GUB) read

$$\begin{aligned}
 GUB(4.4) &= c(p) \max \left\{ F(u_{CR} - u_3) + C_1 \| \| u_{CR} - u_3 \| \|_{NC,2,\Omega}^2, C_2 \cdot \text{osc}(f, \mathcal{T}) \right\}; \\
 GUB(5.2) &= \frac{1}{2^{1-q}} \| h_{\mathcal{T}} f \|_{q,\Omega}^q \\
 &\quad + \frac{c(p)}{2^{1-q}} \max \left\{ \frac{\| \| u_3 \| \|_{p,\Omega}}{j_{1,1}} \text{osc}(f, \mathcal{T}) + \frac{c(p)}{2^{2-q}} M \| \| I_{NC} u_3 - u_3 \| \|_{NC,2,\Omega}^2, C_2 \cdot \text{osc}(f, \mathcal{T}) \right\}.
 \end{aligned}$$

The triangulations are either uniform with successive red-refinement or with an adaptive mesh-refinement algorithm with initial mesh  $\mathcal{T}_0$  and then, for any triangle  $T$  of a triangulation  $\mathcal{T}_\ell$  at level  $\ell = 0, 1, 2, 3, \dots$ , set

$$\eta^2(T) = \| \| I_{NC} u_3 - u_3 \| \|_{NC,2,T}^2 + \| h_{\mathcal{T}} f \|_{q,T}^q.$$

Given all those contributions, mark some set  $\mathcal{M}_\ell$  of triangles in  $\mathcal{T}_\ell$  of minimal cardinality with the bulk criterion

$$1/2 \sum_{T \in \mathcal{T}_\ell} \eta_\ell^2(T) \leq \sum_{T \in \mathcal{M}_\ell} \eta_\ell^2(T).$$

The refinement of all triangles in  $\mathcal{M}_\ell$  plus minimal further refinements to avoid hanging nodes lead to the triangulation  $\mathcal{T}_{\ell+1}$  within the newest-vertex bisection. The choice of the refinement-indicator  $\eta(T)$  is motivated by the convergence theory of adaptive mesh-refining algorithms e.g., in the review article [8] with further details on the mesh-refinement. The convergence history plots display the left-hand sides  $LHS(4.4)$ ,  $LHS(5.2)$  and the upper bounds  $GUB(4.4)$ ,  $GUB(5.2)$  as function of the number of degrees of freedom (ndof) in a log-log scale.

### 6.3 Example 1

Consider the  $p$ -Laplace Problem on the square domain  $\Omega := (0,1)^2$  with the exact solution

$$u(r) = (p-1)(1/(\sigma+2))^{1/(p-1)}(1-r^{(\sigma+p)/(p-1)})/(\sigma+p) \quad \text{for } |x|=r$$

and right-hand side  $f(r) = r^\sigma$  for  $p=4, \sigma=7$ . The reference value for the minimal energy  $E = 0.082674$  stems from Aitken extrapolation.

Fig. 1 and Fig. 2 display the global upper bounds (GUB) and the corresponding error terms (LHS) of the estimates from (4.4), (5.2) as explained in Subsection 6.2 for uniform and adaptive mesh-refinement. Fig. 3 displays the corresponding sequences of triangulations generated by adaptive FEM for (4.4). The exact solution is smooth and hence

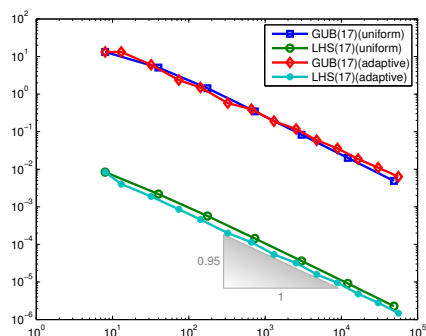


Figure 1: Convergence history of CR method on square domain.

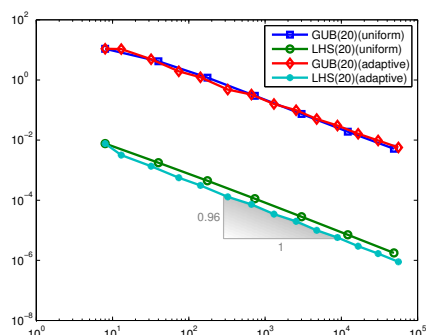


Figure 2: Convergence history of dRT method on square domain.

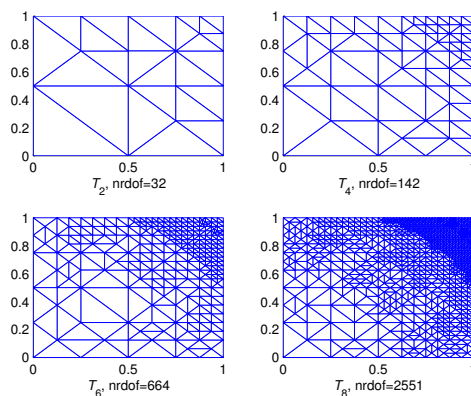


Figure 3: Adaptively generated triangulations  $\mathcal{T}_\ell$  for  $\ell=2,4,6,8$  on square domain.

uniform mesh-refining leads to optimal convergence rates (on structured grids with possible super convergence phenomena) and hence the adaptive mesh-refining is not necessarily better (on unstructured grids without higher symmetry). Lemma 3.2 implies that



$\|u_3\|_{p,\Omega}$  is computable and is bounded by some generic constant.

### 6.4 Example 2

Consider the  $p$ -Laplace Problem on the  $L$ -shaped domain  $\Omega := [-1, 1]^2 \setminus (0, 1] \times (0, -1]$  with  $f \equiv 1$ . The extrapolated energy reads  $E = -0.34337$ . Fig. 4 and Fig. 5 display the global upper bounds (GUB) and the corresponding error terms (LHS) of the estimates from (4.4), (5.2) for uniform and adaptive mesh-refinement. Fig. 6 displays the corresponding sequences of triangulations generated by adaptive FEM for (4.4). Since the constant right-hand side  $f \equiv 1$  leads to vanishing oscillations  $\text{osc}(f, \mathcal{T}) = 0$ , the global upper bound in (4.4) and (5.2) is fully computable.

### 6.5 Conclusions

The proposed the dRT-MFEM of the  $p$ -Laplace problem is equivalent to CR-NCFEM. The numerical examples shows that the convergence results of CR-NCFEM and dRT-MFEM are consistent with the theoretical analysis.

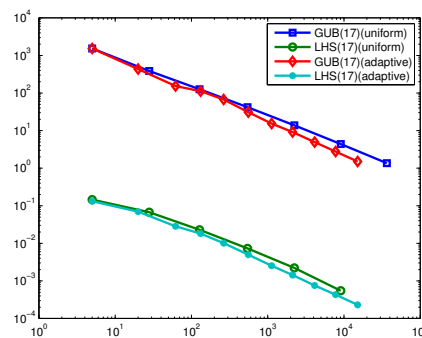


Figure 4: Convergence history of CR method on  $L$ -shaped domain.

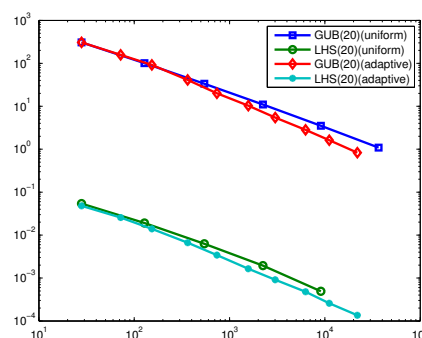


Figure 5: Convergence history of dRT method on  $L$ -shaped domain.

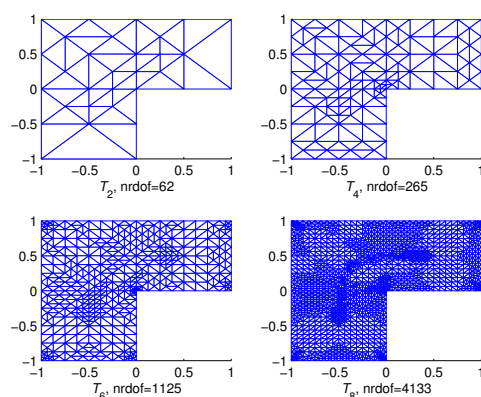


Figure 6: Adaptively generated triangulations  $\mathcal{T}_\ell$  for  $\ell=2,4,6,8$  on  $L$ -shaped domain.

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