

# Characterization by Symmetry of Solutions of a Nonlinear Subelliptic Equation on the Heisenberg Group

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Received March 25, 2016; Accepted February 20, 2017

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**Abstract.** In this paper we prove that two calculus lemmas, which are used in the method of moving sphere for classifying certain constant curvature equation, also hold on Heisenberg group  $\mathbb{H}^n$ .

**AMS subject classifications:** 35H20, 22E30

**Key words:** Classification, moving sphere method, Heisenberg group.

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## 1 Introduction

The Heisenberg group  $\mathbb{H}^n$  consists of the set

$$\mathbb{C}^n \times \mathbb{R} = \left\{ (z, t) : z = (z_1, \dots, z_n) \in \mathbb{C}^n, t \in \mathbb{R} \right\}$$

with the multiplication law

$$(z, t) \circ (z', t') = (z + z', t + t' + 2\text{Im}(z \cdot \bar{z}')),$$

where  $z \cdot \bar{z}' = \sum_{j=1}^n z_j \bar{z}'_j$ . As usual, we write  $z_j = x_j + \sqrt{-1}y_j$ . The Lie algebra is spanned by the left invariant vector fields

$$T = \frac{\partial}{\partial t}, X_j = \frac{\partial}{\partial x_j} + 2y_j \frac{\partial}{\partial t}, Y_j = \frac{\partial}{\partial y_j} - 2x_j \frac{\partial}{\partial t}, j = 1, \dots, n.$$

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The sub-Laplacian is defined by

$$\Delta_H = \sum_{j=1}^n (X_j^2 + Y_j^2).$$

In this paper, we shall study the following semilinear subelliptic equation

$$-\Delta_H u = u^p, \quad u \geq 0, \quad \text{in } \mathbb{H}^n, \quad (1.1)$$

where and throughout the paper we always assume that  $p > 1$ .

This equation is closely related to the study of the CR Yamabe problem. The CR Yamabe problem on a strictly pseudonconvex CR manifold is analogous to the original Yamabe problem on compact manifolds. As the first step, Jerison and Lee [8] proved a sharp Sobolev inequality on the CR sphere  $S^{2n+1}$ . Moreover they classified all extremal functions of the sharp Sobolev inequality. Since  $S^{2n+1}$  is CR equivalent to the Heisenberg group via the Cayley transform, their classification can be stated as following.

**Theorem 1.1.** *Let  $u \in C^2(\mathbb{H}^n)$  be a solution to equation (1.1) with  $p = \frac{Q+2}{Q-2}$  (where  $Q = 2n+2$  is the homogeneous dimension), and suppose  $u \in L^{1+p}$ . Then*

$$u(z, t) = |t + \sqrt{-1}|z|^2 + \mu \cdot z + \lambda|^{-n}, \quad (1.2)$$

where  $\mu \in \mathbb{C}^n$ ,  $\lambda \in \mathbb{C}$ , and  $\text{Im}\lambda > |\mu|^2/4$ .

The proof hinges on a complicated and remarkable identity they discovered with the help of a computer program. It is motivated by Obata's classic work [16] in the Riemannian case. When restricted on  $\mathbb{R}^n$ , Obata's theorem has the following version.

**Theorem 1.2.** *Let  $u \in C^2(\mathbb{R}^n)$  be a positive solution for the following equation*

$$-\Delta u = u^{\frac{n+2}{n-2}}, \quad (1.3)$$

and suppose  $u(x) = O(|x|^{2-n})$  for large  $|x|$ . Then

$$u(x) = \left( \frac{\lambda}{|x - x_0|^2 + \lambda^2} \right)^{(n-2)/2},$$

for some  $\lambda > 0$  and  $x_0 \in \mathbb{R}^n$ .

We recall that there is another completely different approach to such classification problems. This is the method of moving plane initiated by Gidas, Ni and Nirenberg [6]. In particular they reproved the above theorem using this method. Later, Caffarelli, Gidas and Spruck [4] classified all positive solutions to equation (1.3) by sharpening the method of moving plane. Their result plays a crucial role in establishing the compactness results for the solution set to Yamabe problem, see, e.g., Schoen [17], Li and Zhu [14],