

# Controllability of Neutral Fractional Functional Differential Equations with Two Caputo Fractional Derivatives\*

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**Abstract** Multiple fractional derivatives enrich the dynamic properties of fractional differential equations. This paper concerns with neutral fractional functional differential equations with two Caputo fractional derivatives. By using the fixed point methods with the fractional integral inequalities, the existence results and controllability of the equations are considered in the cases of finite delay and infinite delay, respectively. An example is given to illustrate the main results.

**Keywords** Neutral Caputo fractional functional differential equations, controllability, fractional integral inequalities, fixed point theorems

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## 1. Introduction

By using the fixed point method and other nonlinear analyses, the controllability of integer-order and fractional-order neutral functional differential equations is discussed widely, which involves Cauchy conditions and nonlocal conditions, abstract spaces and general spaces, non-impulsive and impulsive differential systems, as well as finite delay and infinite delay. At present, the approximate controllability [1, 2, 6, 11, 13, 15, 25, 27, 32, 35, 36, 38, 46, 60, 61], controllability [3–5, 8–10, 12, 18, 19, 21, 22, 24, 28–31, 33, 37, 39–45], exact controllability [17, 26], total controllability [43], numerical controllability [7, 23], relative controllability [16, 20], etc. al, of fractional differential equation with only one fractional derivative operator are widely considered. In [47], the author considered the existence results of impulsive Caputo fractional functional differential inclusions with variable times in the case of finite delay

$$\begin{cases} {}^C D^\alpha [{}^C D^\beta x(t) - g(t, x_t)] \in F(t, x_t), t \in J := [0, T]; \\ x(t^+) = I_k(x(t)), {}^C D^\beta x(t^+) = I_k^*(x(t)), t = \tau_k(x(t)); \\ x(t) = \phi(t), t \in [-\tau, 0]; {}^C D^\beta x(0) = \mu \in R. \end{cases} \quad (1.1)$$

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In [48], the finite-time stability of the following Caputo fractional functional differential system is considered

$$\begin{cases} {}^c D_0^\alpha x(t) = Ax(t) + Bx(t - \tau(t)) + Dw(t) + f(t, x(t), x(t - \tau(t)), w(t)) \\ \quad + {}^c D_0^\mu x(t - \tau(t)), t \in [0, T], \\ x(t) = \phi(t), t \in [-\tau, 0]. \end{cases} \quad (1.2)$$

In [49], using the fixed point method of multi-valued maps, the properties of semi-group and the properties of generalized Clarke's sub-differentials, the authors considered the existence of optimal feedback control for Caputo fractional neutral evolution systems in Hilbert spaces. In [50], using the fixed point method with theory of fractional calculus and stochastic analysis, the existence result for stochastic integro-differential equations in Hilbert spaces is obtained. For more details of the existence and stability of neutral fractional functional differential equations, see [6, 51–55, 57–60].

Taking into account the influence of multiple fractional derivatives of fractional differential equations, the authors considered the existence, attractivity and stability of fractional differential equations [61–66]. Till now, there have been few papers on the controllability of fractional differential equations with multiple fractional derivatives, especially in the case of finite time delay. Inspired by the above literature, in this paper, we investigate the following fractional functional differential equation with two Caputo fractional derivatives

$$\begin{cases} {}^C D^\alpha [{}^C D^\beta x(t) - g(t, x_t)] = f(t, x_t), t \in J := [0, T]; \\ x(t) = \phi(t), t \in I; \\ {}^C D^\beta x(0) = \mu \in R^n, \end{cases} \quad (1.3)$$

and the controllability form

$$\begin{cases} {}^C D^\alpha [{}^C D^\beta x(t) - g(t, x_t)] = f(t, x_t) + Bu(t), t \in J := [0, T]; \\ x(t) = \phi(t), t \in I; \\ {}^C D^\beta x(0) = \mu \in R^n, \end{cases} \quad (1.4)$$

where  ${}^C D^\alpha$  and  ${}^C D^\beta$  denote the Caputo fractional derivative of  $\alpha, \beta$  order, respectively.  $\alpha, \beta \in (0, 1), \alpha + \beta \in (1, 2)$ ;  $x = (x_1, \dots, x_n)^T$ ,  $f, g \in C(J \times \mathcal{D}, R^n)$ ,  $\phi \in \mathcal{D}, I = (-\infty, 0]$  or  $[-\tau, 0]$ . For any function  $x$  defined on  $I \cup J$  and any  $t \in J$ , we denote by  $x_t$  the element of  $\mathcal{D}$  defined by  $x_t = x(t + \theta), \theta \in I$ , which represents the history of the state from time  $t - \tau$  up to the present time  $t$ . The norm of  $\mathcal{D}$  is  $\|u\|_{\mathcal{D}} := \sup\{\|u(t)\| : t \in I\}$ . The control function  $u(t) \in L^\infty(J, R^m)$  or  $u(t) \in L^2(J, R^m)$ ,  $B$  is an  $n \times m$  matrix.

In this article, some sufficient conditions for the existence results of (1.3) and controllability results of (1.4) are established, respectively, by using fractional integral inequalities, nonlinear analysis, fixed point approach including the contraction mapping principle and the Schaefer fixed point theorem.

## 2. Preliminaries

Let  $L^p(J, R^n)$  be the Banach space of all measurable functions from  $J$  into  $R^n$ , which are Lebesgue integrable with the norm  $\|x\|_{L^p} = (\int_0^T \|x(t)\|^p dt)^{\frac{1}{p}}, 1 \leq p < +\infty$ . Let  $C(J, R^n)$  be the Banach space of all continuous functions from  $J$  into  $R^n$  with the norm  $\|u\| = \sup\{\|u(t)\| : t \in J\}$ .

To consider the existence results and controllability results of (1.3) and (1.4), the space

$$C^\beta((-\infty, T], R^n) = \{u : I \rightarrow R^n : u(t)|_I \in \mathcal{D}; u(t)|_J, {}^C D^\beta u(t)|_J \in C(J, R^n)\},$$

$$C^\beta([-\tau, T], R^n) = \{u : I \rightarrow R^n : u(t)|_I \in \mathcal{D}; u(t)|_J, {}^C D^\beta u(t)|_J \in C(J, R^n)\},$$

is denoted as Banach space of all continuous functions from  $(-\infty, T]$  or  $[-\tau, T]$  into  $R^n$ , respectively, with the norm  $\|u\|_\beta := \|\phi\|_{\mathcal{D}} + \|u\| + \|{}^C D^\beta u\|$ .

**Definition 2.1.** [67, 68] For a function  $h$  given on the interval  $[a, b]$ , the Caputo fractional derivative of order  $\alpha$  of  $h$  is defined by

$$({}^c D_{a^+}^\alpha h)(t) = \frac{1}{\Gamma(n - \alpha)} \int_a^t (t - s)^{n-\alpha-1} h^{(n)}(s) ds,$$

where  $n = [\alpha] + 1$  and  $[\alpha]$  denotes the integer part of  $\alpha$ .

**Definition 2.2.** [67, 68] The Riemann-Liouville integral of order  $\gamma > 0$  for the function  $f$  defined on  $[a, b]$  is given by

$$I_a^\gamma f(t) = \frac{1}{\Gamma(\gamma)} \int_a^t (t - s)^{\gamma-1} f(s) ds, t \in [a, b], \gamma > 0,$$

provided the right side is point-wise defined on  $[a, b]$ , where  $\Gamma(\cdot)$  is the gamma function.

For more knowledge on fractional calculus, see [67, 68] for details.

**Lemma 2.1** (Lemma 1 [69]). *Let  $u$  be a nonnegative continuous function defined on the interval  $I = [a, b]$  and  $p(t) : I \rightarrow (0, \infty)$  be a nondecreasing continuous function. Suppose that  $q(t) : I \rightarrow [0, \infty)$  is a nondecreasing continuous function. If  $u$  satisfies the following inequality:*

$$u(t) \leq p(t) + q(t) \sum_{i=1}^n (I_{a^+}^{\alpha_i} u)(t), \alpha_i > 0, t \in I, \tag{2.1}$$

then for every  $k \in N$  such that  $(k + 1) \min\{\alpha_1, \alpha_2, \dots, \alpha_n\} > 1$ ,

$$u(t) \leq P_k(t) \exp\left(\int_a^t H_{k+1}(t, s) ds\right), t \in I, \tag{2.2}$$

where

$$P_k(t) := p(t) \left( 1 + \sum_{j=1}^k (q(t))^j \sum_{\substack{i_1 + \dots + i_n = j, \\ 0 \leq i_1, \dots, i_n \leq j}} \binom{j}{i_1, \dots, i_n} \frac{(t-a)^{i_1 \alpha_1 + \dots + i_n \alpha_n}}{\Gamma(1 + i_1 \alpha_1 + \dots + i_n \alpha_n)} \right),$$

$$H_{k+1}(t, s) := (q(t))^{k+1} \sum_{\substack{j_1 + \dots + j_n = k+1, \\ 0 \leq j_1, \dots, j_n \leq k+1}} \binom{k+1}{j_1, \dots, j_n} \frac{(t-s)^{j_1 \alpha_1 + \dots + j_n \alpha_n}}{\Gamma(1 + j_1 \alpha_1 + \dots + j_n \alpha_n)},$$

with  $\binom{k+1}{j_1, \dots, j_n}$  representing a combination number formula.

We introduce the definition of the phase space  $(\mathcal{D}, \|\cdot\|_{\mathcal{D}})$  in [70].

Let  $X$  be a Banach space and a linear topological space of functions from  $(-\infty, 0]$  to  $X$  with the semi-norm  $\|\cdot\|_{\mathcal{D}}$  is called an admissible phase space  $\mathcal{D}$  if the following conditions hold

(A<sub>1</sub>) If  $x : (-\infty, T] \rightarrow X$   $x_0 \in \mathcal{D}$ , then for any  $t \in [0, T)$ , the following conditions hold.

- (a)  $x_t \in \mathcal{D}$ ;
- (b)  $\|x(t)\| \leq H\|x_t\|_{\mathcal{D}}$  for some positive constant  $H$ ;
- (c) there are functions  $K(t), M(t) : [0, \infty) \rightarrow [1, \infty)$  such that

$$\|x_t\|_{\mathcal{B}} \leq K(t) \sup\{\|x(s)\| : s \in [0, t]\} + M(t)\|x_0\|_{\mathcal{D}},$$

where  $K$  is continuous,  $M$  is locally bounded and  $H, K, M$  are independent of  $x$  with  $K_T = \sup\{K(s) : s \in [0, T]\}$ ,  $M_T = \sup\{M(s) : s \in [0, T]\}$ .

(A<sub>2</sub>) For the function  $x(\cdot)$  in (A<sub>1</sub>), the function  $x_t \in \mathcal{D}$  and is continuous on the interval  $[0, T]$ .

(A<sub>3</sub>) The space  $\mathcal{D}$  is a Banach space.

### 3. Existence and controllability results

**Definition 3.1.** The function  $x \in C^\beta((-\infty, T], R^n)$  or  $C^\beta([-\tau, T], R^n)$  is a solution of (1.3) if and only if  $x(t)$  satisfies the following integral equation:

$$x(t) = \begin{cases} \phi(0) + \frac{[\mu - g(0, \phi(0))]t^\beta}{\Gamma(\beta + 1)} + \frac{\int_0^t (t-s)^{\beta-1} g(s, x_s) ds}{\Gamma(\beta)} \\ + \frac{\int_0^t (t-s)^{\alpha+\beta-1} [f(s, x_s)] ds}{\Gamma(\alpha + \beta)}, t \in J, \\ x(t) = \phi(t), t \in I. \end{cases} \tag{3.1}$$

**Definition 3.2.** The function  $x \in C^\beta((-\infty, T], R^n)$  or  $C^\beta([-\tau, T], R^n)$  is a solution

of (1.4) if and only if  $x(t)$  satisfies the following integral equation:

$$x(t) = \begin{cases} \phi(0) + \frac{[\mu - g(0, \phi(0))]t^\beta}{\Gamma(\beta + 1)} + \frac{\int_0^t (t-s)^{\beta-1} g(s, x_s) ds}{\Gamma(\beta)} \\ + \frac{\int_0^t (t-s)^{\alpha+\beta-1} [f(s, x_s) + Bu(s)] ds}{\Gamma(\alpha + \beta)}, t \in J, \\ x(t) = \phi(t), t \in I. \end{cases} \tag{3.2}$$

**Definition 3.3.** (1.4) is said to be controllable on the interval  $J$  if for every  $\phi \in \mathcal{D}, x_T \in R^n$ , there is a control function  $u \in L^\infty(J, R^m)$ , such that the mild solution of (3.1) satisfies  $x(T) = x_T$  and  $x_0 = \phi \in \mathcal{D}$ .

We make the following assumptions throughout the paper.

( $H_1$ ) The functions  $g, f \in C(J \times \mathcal{D}, R^n)$  and there exist  $c_i(t), i = 1, 3$  such that

$$\begin{aligned} \|g(t, u) - g(t, v)\| &\leq c_1(t)\|u - v\|_{\mathcal{D}}, \\ \|f(t, u) - f(t, v)\| &\leq c_3(t)\|u - v\|_{\mathcal{D}}, \\ g(0, 0) = f(0, 0) &= 0, t \in J, u \in \mathcal{D}, \end{aligned}$$

with

$$\begin{aligned} \|g(t, u)\| &\leq c_1(t)\|u\|_{\mathcal{D}} + c_2, \\ \|f(t, u)\| &\leq c_3(t)\|u\|_{\mathcal{D}} + c_4, t \in J, u \in \mathcal{D}, \end{aligned}$$

where

$$\begin{aligned} c_2 = \sup\{\|g(t, 0)\|, t \in J\}, c_4 = \sup\{\|f(t, 0)\|, t \in J\}, \\ \hat{c}_1 = \sup\{c_1(t), t \in J\}, \hat{c}_3 = \sup\{c_3(t), t \in J\}. \end{aligned}$$

( $H'_1$ ) For the functions  $g, f \in C(J \times \mathcal{D}, R^n)$ , there exist some bounded functions  $c_i(t) \in L^{\frac{1}{q_i}}(J, R^n), i = 1, 3, q_1 \in [0, \beta), q_3 \in [0, \alpha)$ , such that

$$\begin{aligned} \|g(t, u) - g(t, v)\| &\leq c_1(t)\|u - v\|_{\mathcal{D}}, \\ \|f(t, u) - f(t, v)\| &\leq c_3(t)\|u - v\|_{\mathcal{D}}, \\ g(0, 0) = f(0, 0) &= 0, t \in J, u \in \mathcal{D}. \end{aligned}$$

Furthermore

$$\begin{aligned} \|g(t, u)\| &\leq c_1(t)\|u\|_{\mathcal{D}} + c_2, \\ \|f(t, u)\| &\leq c_3(t)\|u\|_{\mathcal{D}} + c_4, t \in J, u \in \mathcal{D}, \end{aligned}$$

where  $c_2 = \sup\{\|g(t, 0)\|, t \in J\}$  and  $c_4 = \sup\{\|f(t, 0)\|, t \in J\}$ .

( $H_2$ )

$$\begin{aligned} \frac{K_T \hat{c}_1 T^\beta}{\Gamma(\beta+1)} + \frac{K_T \hat{c}_3 T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} + \frac{M_1 M_2 T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} \left\{ \frac{K_T \hat{c}_1 T^\beta}{\Gamma(\beta+1)} + \frac{K_T \hat{c}_3 T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} \right\} < 1, \\ \hat{c}_1 K_T + \frac{K_T \hat{c}_3 T^\alpha}{\Gamma(\alpha+1)} + \frac{M_1 M_2 T^\alpha}{\Gamma(\alpha+1)} \left\{ \frac{K_T T^\beta \hat{c}_1}{\Gamma(\beta+1)} + \frac{K_T T^{\alpha+\beta} \hat{c}_3}{\Gamma(\alpha+\beta+1)} \right\} < 1. \end{aligned}$$

(H<sub>2</sub>)

$$\begin{aligned} & \frac{K_T}{\Gamma(\beta)} \left(\frac{1-q_1}{\beta-q_1}\right)^{1-q_1} T^{\beta-q_1} \|c_1\|_{L^{\frac{1}{q_1}}(J, R^n)} + \frac{K_T}{\Gamma(\alpha+\beta)} \left(\frac{1-q_2}{\alpha-q_2}\right)^{1-q_2} T^{\alpha-q_2} \|c_3\|_{L^{\frac{1}{q_2}}(J, R^n)} \\ & + \frac{M_1 M_2}{\Gamma(\alpha+\beta)} \frac{T^{\alpha+\beta}}{\alpha+\beta} \left[ \frac{K_T}{\Gamma(\beta)} \left(\frac{1-q_1}{\beta-q_1}\right)^{1-q_1} T^{\beta-q_1} \|c_1\|_{L^{\frac{1}{q_1}}(J, R^n)} \right. \\ & \quad \left. + \frac{K_T}{\Gamma(\alpha+\beta)} \left(\frac{1-q_2}{\alpha-q_2}\right)^{1-q_2} T^{\alpha-q_2} \|c_3\|_{L^{\frac{1}{q_2}}(J, R^n)} \right] < 1, \\ c_1(t) + & \left(\frac{1-q_2}{\alpha-q_2}\right)^{1-q_2} T^{\alpha-q_2} \|c_3\|_{L^{\frac{1}{q_2}}} + \frac{M_1 M_2 T^\alpha}{\Gamma(\alpha+1)} \left\{ \frac{K_T}{\Gamma(\beta)} \left(\frac{1-q_1}{\beta-q_1}\right)^{1-q_1} T^{\beta-q_1} \|c_1\|_{L^{\frac{1}{q_1}}(J, R^n)} \right. \\ & \quad \left. + \frac{K_T}{\Gamma(\alpha+\beta)} \left(\frac{1-q_2}{\alpha+\beta-q_2}\right)^{1-q_2} T^{\alpha+\beta-q_2} \|c_3\|_{L^{\frac{1}{q_2}}(J, R^n)} \right\} < 1, t \in J. \end{aligned}$$

(H<sub>3</sub>) The bounded operator  $B : L^\infty(J, R^m) \rightarrow R^n$  is linear. The operator  $\mathbf{W}_u : L^\infty(J, R^m) \rightarrow R^n$  is defined as  $\mathbf{W}_u = \frac{1}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} B u(s) ds$ ,  $\mathbf{W}_u$  with inverse operator  $\mathbf{W}_u^{-1}$  (in  $L^\infty(J, R^m)/ker \mathbf{W}_u$ ) and there exist  $M_i, i = 1, 2$  such that  $\|B\| \leq M_1, \|\mathbf{W}_u^{-1}\| \leq M_2$ .

(H<sub>3</sub>)  $B : L^\infty(J, R^m) \rightarrow R^n$  is a linear operator. The operator  $\mathbf{W}_u : L^2(J, R^m) \rightarrow R^n$  is defined as  $\mathbf{W}_u = \frac{1}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} B u(s) ds$ ,  $\mathbf{W}_u$  with inverse operator  $\mathbf{W}_u^{-1}$  (in  $L^2(J, R^m)/ker \mathbf{W}_u$ ) and there are two positive constants  $M_i, i = 1, 2$  such that  $\|B\| \leq M_1, \|\mathbf{W}_u^{-1}\| \leq M_2$ .

Firstly, we consider the controllability results of (1.4) in the case of infinite delay.

**Theorem 3.1.** *If the assumptions (H<sub>1</sub>), (H<sub>2</sub>) and (H<sub>3</sub>) hold. Then problem (1.4) is controllable on J.*

**Proof.** Define the space  $S(T) = \{u \in C(J, R^n) : u(0) = \phi(0)\}$  with the norm  $\|u\| = \sup\{\|u(t)\|, t \in J\}$ . For any  $x \in C^\beta((-\infty, T], R^n)$ , define the control function  $u_x(\cdot)$  as

$$\begin{aligned} u_x(t) = & \mathbf{W}_u^{-1} \left\{ x_1 - [\phi(0) + [\mu - g(0, \phi_0)] \frac{T^\beta}{\Gamma(\beta+1)} + \frac{1}{\Gamma(\beta)} \int_0^T (T-s)^{\beta-1} g(s, x_s) ds \right. \\ & \left. + \frac{1}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} f(s, x_s) ds \right\}, t \in J, \end{aligned} \tag{3.3}$$

where  $x_1$  denotes the final state of (1.4) at  $T$ .

Consider the space  $\Omega = \{x \in C^\beta((-\infty, T], R^n); \|x\|_\beta \leq r\}, r > 0$ . We define the operator  $N : \Omega \rightarrow \Omega$  as

$$(Nx)(t) = \begin{cases} \phi(0) + [\mu - g(0, \phi(0))] \frac{t^\beta}{\Gamma(\beta+1)} + \frac{1}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} g(s, x_s) ds \\ \quad + \frac{1}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} [f(s, x_s) + B u_x(s)] ds, t \in J, \\ \phi(t), t \in I. \end{cases} \tag{3.4}$$

So the fixed point of  $N$  is the solution of (1.4).

1. Firstly, we show that  $N\Omega \subseteq \Omega$ . For any  $x \in \Omega$ , by (H<sub>1</sub>), we get

$$\|(Nx)(t)\| = \|\phi(t)\|, \quad \|(Nx)\|_\beta = \|\phi\|_\mathcal{D} \leq r, t \in I.$$

By (A<sub>1</sub>(c)) of the definition on the phase space  $(\mathcal{D}, \|\cdot\|_\mathcal{D})$  in [70], we have

$$\begin{aligned} \|x_t\|_\mathcal{D} & \leq K(t) \sup\{\|x(s)\| : s \in [0, t]\} + M(t) \|\phi(0)\|_\mathcal{D} \\ & \leq K_T \sup\{\|x(s)\| : s \in [0, t]\} + M_T \|\phi\|_\mathcal{D} \\ & \leq K_T r + M_T \|\phi\|_\mathcal{D} = \mathcal{A}, t \in J. \end{aligned} \tag{3.5}$$

By the control function, we get

$$\begin{aligned}
 \|u_x\|_{L^\infty(J,R^m)} &\leq M_2[\|x_1\| + \|\phi(0)\|_{\mathcal{D}} + (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}})\frac{T^\beta}{\Gamma(\beta+1)}] \\
 &\quad + M_2[\frac{1}{\Gamma(\beta)} \int_0^T (T-s)^{\beta-1} \|g(s, x_s)\| ds \\
 &\quad + \frac{1}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} \|f(s, x_s)\| ds] \\
 &\leq M_2[\|x_1\| + \|\phi(0)\|_{\mathcal{D}} + (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}})\frac{T^\beta}{\Gamma(\beta+1)}] \\
 &\quad + \frac{1}{\Gamma(\beta)} \int_0^T (T-s)^{\beta-1} (c_1(s)\|x_s\|_{\mathcal{D}} + c_2) ds \\
 &\quad + \frac{1}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} (c_3(s)\|x_s\|_{\mathcal{D}} + c_4) ds \\
 &\leq M_2[\|x_1\| + \|\phi(0)\|_{\mathcal{D}} + (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}})\frac{T^\beta}{\Gamma(\beta+1)}] \\
 &\quad + \frac{M_2}{\Gamma(\beta)} \int_0^T (T-s)^{\beta-1} c_1(s) \mathcal{A} ds + \frac{M_2 c_2 T^\beta}{\Gamma(\beta+1)} \\
 &\quad + \frac{M_2}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} c_3(s) \mathcal{A} ds + \frac{M_2 c_4 T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} \\
 &\leq M_2[\|x_1\| + \|\phi(0)\|_{\mathcal{D}} + (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}})\frac{T^\beta}{\Gamma(\beta+1)}] \\
 &\quad + \frac{M_2 \hat{c}_1 \mathcal{A} t^{(\beta+1)}}{\Gamma(\beta+1)} + \frac{M_2 c_2 T^\beta}{\Gamma(\beta+1)} + \frac{M_2 \hat{c}_3 \mathcal{A} T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} + \frac{M_2 c_4 T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)}] \\
 &\leq M_2[\|x_1\| + \|\phi(0)\|_{\mathcal{D}} + (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}})\frac{T^\beta}{\Gamma(\beta+1)} \\
 &\quad + \frac{\hat{c}_1 \mathcal{A} T^{(\beta+1)}}{\Gamma(\beta+1)} + \frac{c_2 T^\beta}{\Gamma(\beta+1)} + \frac{\hat{c}_3 \mathcal{A} T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} + \frac{c_4 T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)}] = \mathcal{B}, t \in J.
 \end{aligned}
 \tag{3.6}$$

So we have

$$\begin{aligned}
 \|(Nx)(t)\| &\leq (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}} + c_2)\frac{t^\beta}{\Gamma(\beta+1)} \\
 &\quad + \frac{1}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} (c_1(s)\|x_s\|_{\mathcal{D}} + c_2) ds \\
 &\quad + \frac{1}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} (c_3(s)\|x_s\|_{\mathcal{D}} + c_4) ds \\
 &\quad + \frac{1}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} \|B\| \|u_x(s)\| ds \\
 &\leq (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}} + 2c_2)\frac{t^\beta}{\Gamma(\beta+1)} \\
 &\quad + \frac{1}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} c_1(s) \mathcal{A} ds + \frac{1}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} c_3(s) \mathcal{A} ds \\
 &\quad + \frac{c_4 t^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} + \frac{M_1 t^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} \|u_x\|_{L^\infty(J,R^m)} \\
 &\leq (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}} + 2c_2)\frac{T^\beta}{\Gamma(\beta+1)} + \frac{\hat{c}_1 T^\beta \mathcal{A}}{\Gamma(\beta+1)} \\
 &\quad + \frac{\hat{c}_3 \mathcal{A} T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} + \frac{c_4 T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} + \frac{M_1 T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} \mathcal{B} = \mathcal{C}, t \in J,
 \end{aligned}
 \tag{3.7}$$

and

$$\begin{aligned}
 \|{}^C D^\beta(Nx)(t)\| &= \|g(t, x_t) + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} [f(s, x_s) + Bu_x(s)] ds\| \\
 &\leq \|g(t, x_t)\| + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} \|f(s, x_s)\| ds \\
 &\quad + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} \|B\| \|u_x(s)\| ds \\
 &\leq \hat{c}_1 \|x_t\|_{\mathcal{D}} + c_2 + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} (c_3(s)\|x_s\|_{\mathcal{D}} + c_4) ds \\
 &\quad + \frac{M_1 t^\alpha}{\Gamma(\alpha+1)} \|u_x\|_{L^\infty(J,R^m)} \\
 &\leq \hat{c}_1 \mathcal{A} + c_2 + \frac{(\hat{c}_3 \mathcal{A} + c_4) T^\alpha}{\Gamma(\alpha+1)} + \frac{M_1 \mathcal{B} T^\alpha}{\Gamma(\alpha+1)} = \mathcal{D}, t \in J.
 \end{aligned}
 \tag{3.8}$$

Then we get

$$\sup\{\|(Nx)(t)\|\} \leq \mathcal{C}, \quad \sup\{\|{}^C D^\beta(Nx)(t)\|\} \leq \mathcal{D}, t \in J,$$

and

$$\|(Nx)\|_\beta \leq \|\phi\|_{\mathcal{D}} + \mathcal{C} + \mathcal{D} \leq r,$$

i.e.  $N\Omega \subseteq \Omega$ .

2.  $N$  is a contraction mapping operator. Choosing any  $x, y \in \Omega$  with  $x_0(t) = y_0(t), t \in I$ , it follows that

$$\begin{aligned} & \| (Nx)(t) - (Ny)(t) \| \\ & \leq \frac{1}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} \|g(s, x_s) - g(s, y_s)\| ds \\ & \quad + \frac{1}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} [\|f(s, x_s) - f(s, y_s)\| + \|Bu_x(s) - Bu_y(s)\|] ds \\ & \leq \frac{1}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} c_1(s) K(s) \sup\{\|x(\theta) - y(\theta)\| : \theta \in [0, s]\} ds \\ & \quad + \frac{1}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} c_3(s) K(s) \sup\{\|x(\theta) - y(\theta)\| : \theta \in [0, s]\} ds \\ & \quad + \frac{M_1}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} \|\mathbf{W}_u^{-1} \left\{ \frac{1}{\Gamma(\beta)} \int_0^T (T-s)^{\beta-1} \|g(s, x_s) - g(s, y_s)\| ds \right. \\ & \quad \left. + \frac{1}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} \|f(s, x_s) - f(s, y_s)\| ds \right\}\| ds \\ & \leq \frac{K_T}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} c_1(s) ds \|x - y\| + \frac{K_T}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} c_3(s) ds \|x - y\| \\ & \quad + \frac{M_1 M_2}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} \left[ \frac{1}{\Gamma(\beta)} \int_0^T (T-s)^{\beta-1} \|g(s, x_s) - g(s, y_s)\| ds \right. \\ & \quad \left. + \frac{1}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} \|f(s, x_s) - f(s, y_s)\| ds \right] ds \\ & \leq \frac{K_T}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} c_1(s) ds \|x - y\| + \frac{K_T}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} c_3(s) ds \|x - y\| \\ & \quad + \frac{M_1 M_2}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} \left[ \frac{K_T}{\Gamma(\beta)} \int_0^T (T-s)^{\beta-1} c_1(s) ds \right. \\ & \quad \left. + \frac{K_T}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} c_3(s) ds \right] ds \|x - y\| \\ & \leq \left[ \frac{K_T \hat{c}_1 T^\beta}{\Gamma(\beta+1)} + \frac{K_T \hat{c}_3 T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} \right] \|x - y\| \\ & \quad + \frac{M_1 M_2}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} \left[ \frac{K_T \hat{c}_1 T^\beta}{\Gamma(\beta+1)} + \frac{K_T \hat{c}_3 T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} \right] ds \|x - y\| \\ & \leq \left\{ \frac{K_T \hat{c}_1 T^\beta}{\Gamma(\beta+1)} + \frac{K_T \hat{c}_3 T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} + \frac{M_1 M_2 T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} \left[ \frac{K_T \hat{c}_1 T^\beta}{\Gamma(\beta+1)} + \frac{K_T \hat{c}_3 T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} \right] \right\} \|x - y\|. \end{aligned} \tag{3.9}$$

In the same way, we obtain

$$\begin{aligned} & \| {}^C D^\beta (Nx)(t) - {}^C D^\beta (Ny)(t) \| \\ & \leq \|g(t, x_t) - g(t, y_t)\| + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} \|f(s, x_s) - f(s, y_s)\| ds \\ & \quad + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} \|Bu_x(s) - Bu_y(s)\| ds \\ & \leq c_1(t) \|x - y\|_{\mathcal{D}} + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} c_3(s) \|x_s - y_s\|_{\mathcal{D}} ds \\ & \quad + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} \|B\| \|u_x(s) - u_y(s)\| ds \\ & \leq \hat{c}_1 K_T \|x - y\| + \frac{K_T}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} c_3(s) ds \|x - y\| \\ & \quad + \frac{M_1 M_2}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} \left[ \frac{K_T}{\Gamma(\beta)} \int_0^T (T-s)^{\beta-1} c_1(s) ds \right. \\ & \quad \left. + \frac{K_T}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} c_3(s) ds \right] ds \|x - y\| \\ & \leq \left\{ \hat{c}_1 K_T + \frac{K_T}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} c_3(s) ds \right. \\ & \quad \left. + \frac{M_1 M_2}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} \left[ \frac{K_T T^\beta \hat{c}_1}{\Gamma(\beta+1)} + \frac{K_T T^{\alpha+\beta} \hat{c}_3}{\Gamma(\alpha+\beta+1)} \right] ds \right\} \|x - y\| \\ & \leq \left\{ \hat{c}_1 K_T + \frac{K_T \hat{c}_3 T^\alpha}{\Gamma(\alpha+1)} + \frac{M_1 M_2 T^\alpha}{\Gamma(\alpha+1)} \left[ \frac{K_T T^\beta \hat{c}_1}{\Gamma(\beta+1)} + \frac{K_T T^{\alpha+\beta} \hat{c}_3}{\Gamma(\alpha+\beta+1)} \right] \right\} \|x - y\|, t \in J. \end{aligned} \tag{3.10}$$



Thus we get

$$\|Nx - Ny\|_\beta < \|x - y\|_\beta,$$

i.e.  $N$  is a contraction operator and  $N$  has a fixed point. Thus problem (1.4) is controllable on  $J$ .  $\square$

**Theorem 3.2.** *Suppose that the assumptions  $(H'_1)$ ,  $(H'_2)$  and  $(H'_3)$  hold with  $\alpha > \frac{1}{2}$ . Then problem (1.4) is controllable on  $J$ .*

**Proof.** Define the space  $S(T)$  as in Theorem 3.1. For any  $x \in C^\beta((-\infty, T], R^n)$ , choose the control function  $u_x(\cdot)$  as in (3.3). Consider  $\Omega = \{x \in C^\beta((-\infty, T], R^n); \|x\|_\beta \leq r\}$ , where  $\|x\|_\beta := \|\phi\|_{\mathcal{D}} + \|x\| + \|{}^C D^\beta x\|$ . Define the operator  $N : \Omega \rightarrow \Omega$  as in (3.4). We show that  $N$  is a contraction mapping operator and has a fixed point.

1.  $N\Omega \subseteq \Omega$ . For any  $x \in \Omega$ , by  $(H'_1)$ , we have

$$\|(Nx)(t)\| = \|\phi(t)\|, \quad \|(Nx)\|_\beta = \|\phi\|_{\mathcal{D}} \leq r, t \in I.$$

By  $(A_1(c))$  in the definition 3.4, as the proof in (3.5), we have

$$\begin{aligned} \|x_t\|_{\mathcal{D}} &\leq K(t) \sup\{\|x(s)\| : s \in [0, t]\} + M(t)\|\phi(0)\|_{\mathcal{D}} \\ &\leq K_T r + M_T \|\phi\|_{\mathcal{D}} = \mathcal{A}', t \in J. \end{aligned}$$

For the control function  $u_x$ , by Hölder inequality, we get

$$\begin{aligned} \|u_x\| &\leq M_2[\|x_1\| + \|\phi(0)\|_{\mathcal{D}} + (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}}) \frac{T^\beta}{\Gamma(\beta+1)}] \\ &\quad + M_2[\frac{1}{\Gamma(\beta)} \int_0^T (T-s)^{\beta-1} \|g(s, x_s)\| ds \\ &\quad + \frac{1}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} \|f(s, x_s)\| ds] \\ &\leq M_2[\|x_1\| + \|\phi(0)\|_{\mathcal{D}} + (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}}) \frac{T^\beta}{\Gamma(\beta+1)}] \\ &\quad + \frac{1}{\Gamma(\beta)} \int_0^T (T-s)^{\beta-1} (c_1(s)\|x_s\|_{\mathcal{D}} + c_2) ds \\ &\quad + \frac{1}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} (c_3(s)\|x_s\|_{\mathcal{D}} + c_4) ds \\ &\leq M_2[\|x_1\| + \|\phi(0)\|_{\mathcal{D}} + (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}}) \frac{T^\beta}{\Gamma(\beta+1)}] \\ &\quad + \frac{M_2 \mathcal{A}}{\Gamma(\beta)} \int_0^T (T-s)^{\beta-1} c_1(s) ds + \frac{M_2 c_2 T^\beta}{\Gamma(\beta+1)} \\ &\quad + \frac{M_2 \mathcal{A}}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} c_3(s) ds + \frac{M_2 c_4 T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} \\ &\leq M_2[\|x_1\| + \|\phi(0)\|_{\mathcal{D}} + (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}}) \frac{T^\beta}{\Gamma(\beta+1)}] \\ &\quad + \frac{M_2 \mathcal{A}}{\Gamma(\beta)} \left( \int_0^T (T-s)^{\frac{\beta-1}{1-q_1}} ds \right)^{1-q_1} \|c_1\|_{L^{\frac{1}{q_1}}(J, R^n)} + \frac{M_2 c_2 T^\beta}{\Gamma(\beta+1)} \\ &\quad + \frac{M_2 \mathcal{A}}{\Gamma(\alpha+\beta)} \left( \int_0^T (T-s)^{\frac{\alpha+\beta-1}{1-q_2}} ds \right)^{1-q_2} \|c_3\|_{L^{\frac{1}{q_2}}(J, R^n)} + \frac{M_2 c_4 T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} \\ &\leq M_2 \left[ \|x_1\| + \|\phi(0)\| + (\|\mu\| + c_1(0)\|\phi(0)\|) \frac{T^\beta}{\Gamma(\beta+1)} \right] \\ &\quad + \frac{M_2 \mathcal{A}}{\Gamma(\beta)} \left( \frac{1-q_1}{\beta-q_1} \right)^{1-q_1} T^{\beta-q_1} \|c_1\|_{L^{\frac{1}{q_1}}(J, R^n)} + \frac{M_2 c_2 T^\beta}{\Gamma(\beta+1)} \\ &\quad + \frac{M_2 \mathcal{A}}{\Gamma(\alpha+\beta)} \left( \frac{1-q_2}{\alpha+\beta-q_2} \right)^{1-q_2} T^{\alpha+\beta-q_2} \|c_3\|_{L^{\frac{1}{q_2}}(J, R^n)} \\ &\quad + \frac{M_2 c_4 T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} = \mathcal{B}', t \in J, \end{aligned}$$

and

$$\|u_x\|_{L^2(J, R^n)} \leq \mathcal{B}'\sqrt{T}.$$

So by  $(H'_1)$ , we have

$$\begin{aligned} \|(Nx)(t)\| &\leq (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}} + c_2)\frac{t^\beta}{\Gamma(\beta+1)} \\ &\quad + \frac{1}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} (c_1(s)\|x_s\|_{\mathcal{D}} + c_2) ds \\ &\quad + \frac{1}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} (c_3(s)\|x_s\|_{\mathcal{D}} + c_4) ds \\ &\quad + \frac{1}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} \|B\| \|u_x(s)\| ds \\ &\leq (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}} + 2c_2)\frac{t^\beta}{\Gamma(\beta+1)} + \frac{1}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} c_1(s) \mathcal{A}' ds \\ &\quad + \frac{1}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} c_3(s) \mathcal{A}' ds + \frac{c_4 t^{(\alpha+\beta)}}{\Gamma(\alpha+\beta+1)} \\ &\quad + \frac{M_1}{\Gamma(\alpha+\beta)} \sqrt{\frac{T^{2\alpha+2\beta-1}}{2\alpha+2\beta-1}} \|u_x\|_{L^2(J, R^n)} \\ &\leq (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}} + 2c_2)\frac{T^\beta}{\Gamma(\beta+1)} \\ &\quad + \frac{M_2 \mathcal{A}'}{\Gamma(\beta)} \left(\frac{1-q_1}{\beta-q_1}\right)^{1-q_1} T^{\beta-q_1} \|c_1\|_{L^{\frac{1}{q_1}}(J, R^n)} + \frac{M_2 c_2 T^\beta}{\Gamma(\beta+1)} \\ &\quad + \frac{M_2 \mathcal{A}'}{\Gamma(\alpha+\beta)} \left(\frac{1-q_2}{\alpha+\beta-q_2}\right)^{1-q_2} T^{\alpha+\beta-q_2} \|c_3\|_{L^{\frac{1}{q_2}}(J, R^n)} + \frac{c_4 T^{(\alpha+\beta)}}{\Gamma(\alpha+\beta+1)} \\ &\quad + \frac{M_1}{\Gamma(\alpha+\beta)} \sqrt{\frac{T^{2\alpha+2\beta-1}}{2\alpha+2\beta-1}} \mathcal{B}'\sqrt{T} = \mathcal{C}', t \in J, \end{aligned}$$

and

$$\begin{aligned} &\|{}^C D^\beta(Nx)(t)\| \\ &= \|g(t, x_t) + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} [f(s, x_s) + Bu_x(s)] ds\| \\ &\leq \|g(t, x_t)\| + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} \|f(s, x_s)\| ds \\ &\quad + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} \|B\| \|u_x(s)\| ds \\ &\leq \hat{c}_1 \|x_t\|_{\mathcal{D}} + c_2 + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} (c_3(s)\|x_s\|_{\mathcal{D}} + c_4) ds \\ &\quad + \frac{M_1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} \|u_x(s)\| ds \\ &\leq \hat{c}_1 \mathcal{A} + c_2 + \frac{c_4 T^\alpha}{\Gamma(\alpha+1)} + \frac{\mathcal{A}'}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} c_3(s) ds \\ &\quad + \frac{M_1}{\Gamma(\alpha)} \left(\int_0^t (t-s)^{2\alpha-2} ds\right)^{\frac{1}{2}} \|u_x\|_{L^2(J, R^n)} \\ &\leq \hat{c}_1 \mathcal{A}' + c_2 + \frac{c_4 T^\alpha}{\Gamma(\alpha+1)} + \frac{\mathcal{A}'}{\Gamma(\alpha)} \left(\frac{1-q_2}{\alpha-q_2}\right)^{1-q_2} T^{\alpha-q_2} \|c_3\|_{L^{\frac{1}{q_2}}(J, R^n)} \\ &\quad + \frac{M_1}{\Gamma(\alpha)} \sqrt{\frac{T^{2\alpha-1}}{2\alpha-1}} \|u_x\|_{L^2(J, R^n)} \\ &\leq \hat{c}_1 \mathcal{A}' + c_2 + \frac{c_4 T^\alpha}{\Gamma(\alpha+1)} + \frac{\mathcal{A}'}{\Gamma(\alpha)} \left(\frac{1-q_2}{\alpha-q_2}\right)^{1-q_2} T^{\alpha-q_2} \|c_3\|_{L^{\frac{1}{q_2}}(J, R^n)} \\ &\quad + \frac{M_1}{\Gamma(\alpha)} \sqrt{\frac{T^{2\alpha-1}}{2\alpha-1}} \mathcal{C}' = \mathcal{D}', t \in J. \end{aligned}$$

Thus it follows that

$$\sup\{\|(Nx)(t)\|\} \leq \mathcal{C}, \quad \sup\{\|{}^C D^\beta(Nx)(t)\|\} \leq \mathcal{D}, t \in J,$$

and

$$\|(Nx)\|_\beta \leq \|\phi\|_{\mathcal{D}} + \mathcal{C} + \mathcal{D} \leq r,$$

i.e.  $N\Omega \subseteq \Omega$ .

2.  $N$  is a contraction mapping operator. Choose any  $x, y \in \Omega$  with  $x_0 = y_0, t \in I$ , as (3.9) and (3.10), we get

$$\begin{aligned} & \| (Nx)(t) - (Ny)(t) \| \\ & \leq \frac{K_T}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} c_1(s) ds \|x-y\| + \frac{K_T}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} c_3(s) ds \|x-y\| \\ & \quad + \frac{M_1 M_2}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} \left[ \frac{K_T}{\Gamma(\beta)} \int_0^T (T-s)^{\beta-1} c_1(s) ds \right. \\ & \quad \left. + \frac{K_T}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} c_3(s) ds \right] ds \|x-y\| \\ & \leq \left[ \frac{K_T}{\Gamma(\beta)} \left( \frac{1-q_1}{\beta-q_1} \right)^{1-q_1} T^{\beta-q_1} \|c_1\|_{L^{\frac{1}{q_1}}(J, R^n)} \right. \\ & \quad \left. + \frac{K_T}{\Gamma(\alpha+\beta)} \left( \frac{1-q_2}{\alpha-q_2} \right)^{1-q_2} T^{\alpha-q_2} \|c_3\|_{L^{\frac{1}{q_2}}(J, R^n)} \right] \|x-y\| \\ & \quad + \frac{M_1 M_2}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} \left[ \frac{K_T}{\Gamma(\beta)} \left( \frac{1-q_1}{\beta-q_1} \right)^{1-q_1} T^{\beta-q_1} \|c_1\|_{L^{\frac{1}{q_1}}(J, R^n)} \right. \\ & \quad \left. + \frac{K_T}{\Gamma(\alpha+\beta)} \left( \frac{1-q_2}{\alpha-q_2} \right)^{1-q_2} T^{\alpha-q_2} \|c_3\|_{L^{\frac{1}{q_2}}(J, R^n)} \right] ds \|x-y\| \\ & \leq \left\{ \frac{K_T}{\Gamma(\beta)} \left( \frac{1-q_1}{\beta-q_1} \right)^{1-q_1} T^{\beta-q_1} \|c_1\|_{L^{\frac{1}{q_1}}(J, R^n)} + \frac{K_T}{\Gamma(\alpha+\beta)} \left( \frac{1-q_2}{\alpha-q_2} \right)^{1-q_2} T^{\alpha-q_2} \|c_3\|_{L^{\frac{1}{q_2}}(J, R^n)} \right. \\ & \quad \left. + \frac{M_1 M_2}{\Gamma(\alpha+\beta)} \frac{T^{\alpha+\beta}}{\alpha+\beta} \left[ \frac{K_T}{\Gamma(\beta)} \left( \frac{1-q_1}{\beta-q_1} \right)^{1-q_1} T^{\beta-q_1} \|c_1\|_{L^{\frac{1}{q_1}}(J, R^n)} \right. \right. \\ & \quad \left. \left. + \frac{K_T}{\Gamma(\alpha+\beta)} \left( \frac{1-q_2}{\alpha-q_2} \right)^{1-q_2} T^{\alpha-q_2} \|c_3\|_{L^{\frac{1}{q_2}}(J, R^n)} \right] \right\} \|x-y\|, \end{aligned}$$

and

$$\begin{aligned} & \| {}^C D^\beta(Nx)(t) - {}^C D^\beta(Ny)(t) \| \\ & \leq \|g(t, x_t) - g(t, y_t)\| + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} \|f(s, x_s) - f(s, y_s)\| ds \\ & \quad + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} \|Bu_x(s) - Bu_y(s)\| ds \\ & \leq c_1(t) \|x-y\|_{\mathcal{D}} + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} c_3(s) \|x_s - y_s\|_{\mathcal{D}} ds \\ & \quad + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} \|B\| \|u_x(s) - u_y(s)\| ds \\ & \leq c_1(t) K_T \|x-y\| + \frac{K_T}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} c_3(s) ds \|x-y\| \\ & \quad + \frac{M_1 M_2}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} \left[ \frac{K_T}{\Gamma(\beta)} \int_0^T (T-s)^{\beta-1} c_1(s) ds \right. \\ & \quad \left. + \frac{K_T}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} c_3(s) ds \right] ds \|x-y\| \\ & \leq \left\{ c_1(t) + \frac{K_T}{\Gamma(\alpha)} \left( \frac{1-q_2}{\alpha-q_2} \right)^{1-q_2} T^{\alpha-q_2} \|c_3\|_{L^{\frac{1}{q_2}}(J, R^n)} \right. \\ & \quad \left. + \frac{M_1 M_2}{\Gamma(\alpha)} \frac{T^\alpha}{\alpha} \left[ \frac{K_T}{\Gamma(\beta)} \left( \frac{1-q_1}{\beta-q_1} \right)^{1-q_1} T^{\beta-q_1} \|c_1\|_{L^{\frac{1}{q_1}}(J, R^n)} \right. \right. \\ & \quad \left. \left. + \frac{K_T}{\Gamma(\alpha+\beta)} \left( \frac{1-q_2}{\alpha+\beta-q_2} \right)^{1-q_2} T^{\alpha+\beta-q_2} \|c_3\|_{L^{\frac{1}{q_2}}(J, R^n)} \right] \right\} \|x-y\|, t \in J. \end{aligned}$$

In all, by  $(H'_2)$ , it follows that

$$\|Nx - Ny\|_\beta < \|x - y\|_\beta,$$

i.e.  $N$  is a contraction mapping operator and has a fixed point. Thus (1.4) is controllable on the interval  $J$ .  $\square$

**Remark 3.1.** Using the Banach contraction mapping principle, we can get the existence result of the solution of (1.3) similar to the proof of Theorem 3.1 without the control function  $u$ . So we omit it.

**Theorem 3.3.** *If the assumptions  $(H_1)$ - $(H_2)$  hold, then problem (1.3) has a unique solution on  $J$ .*

Secondly, we consider the controllability of problem (1.4) in the case of finite delay.

**Theorem 3.4.** *Suppose that the assumptions  $(H_1)$ - $(H_3)$  hold, then problem (1.4) is controllable on  $J$ .*

**Proof.** For any  $x \in C^\beta([-\tau, T], R^n)$ , define the control function  $u_x(\cdot)$  and  $N$  as in Theorem 3.2. We will show that  $N$  is continuous and completely continuous.

1.  $N$  is continuous. Let  $\{x_n\}$  be a sequence in  $C^\beta([-\tau, T], R^n)$  such that  $\lim_{n \rightarrow \infty} x_n = x$ . Then

$$\sup\{\|(Fx_n)(t) - (Fx)(t)\|\} = \sup\{\|\phi(t) - \phi(t)\|\} = 0, t \in [-\tau, 0].$$

When  $t \in J$ , we have

$$\begin{aligned} \|(Nx_n)(t) - (Nx)(t)\| &\leq \frac{1}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} \|g(s, x_{ns}) - g(s, x_s)\| ds \\ &\quad + \frac{1}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} \|f(s, x_{ns}) - f(s, x_s)\| ds \\ &\quad + \frac{1}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} \|Bu_{x_n}(s) - Bu_x(s)\| ds \rightarrow 0, \end{aligned} \tag{3.11}$$

and

$$\begin{aligned} \|{}^C D^\beta(Nx_n)(t) - {}^C D^\beta(Nx)(t)\| &\leq \|g(t, x_{nt}) - g(t, x_t)\| \\ &\quad + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} [\|f(s, x_{ns}) - f(s, x_s)\| + \|Bu_{x_n}(s) - Bu_x(s)\|] ds \rightarrow 0. \end{aligned} \tag{3.12}$$

From (3.11) and (3.12), by the continuity of  $f, g$  and Lebesgue dominated convergence theorem, we get

$$\begin{aligned} \lim_{n \rightarrow \infty} \sup\{\|(Nx_n)(t) - (Nx)(t)\|\} &= 0, \\ \lim_{n \rightarrow \infty} \sup\{\|{}^C D^\beta(Nx_n)(t) - {}^C D^\beta(Nx)(t)\|\} &= 0, t \in J. \end{aligned}$$

In all

$$\lim_{n \rightarrow \infty} \|F(x_n) - Fx\|_\beta = 0,$$

i.e.  $N$  is continuous.

2. The operator  $N$  maps a bounded set  $B_r = \{x \in C^\beta([-\tau, T], R^n) \mid \|x\|_\beta \leq r\}, r > 0$  to a bounded set  $NB_r = \{y \mid \|y\|_\beta \leq L\}, L > 0$ . We get easily

$$\|(Nx)(t)\| = \|\phi(t)\|, \|(Nx)\|_\beta = \|\phi\|_\beta = \|\phi\|_D \leq r, t \in [-\tau, 0].$$

From  $(H'_1)$  and  $(H'_2)$ , similar to the proof of Theorem 3.2, we get  $Nx$  and  ${}^C D^\beta(Nx)$  all are bounded.

3.  $N$  maps  $x \in B_r \subset C^\beta([-\tau, T], R^n)$  into equi-continuous, i.e. as  $\hat{t}_1 \rightarrow \hat{t}_2, \hat{t}_1, \hat{t}_2 \in [-\tau, 0]$ ,

$$(Nx)(\hat{t}_2) - (Nx)(\hat{t}_1) = \phi(\hat{t}_2) - \phi(\hat{t}_1) \rightarrow 0.$$

Choose  $\hat{t}_1, \hat{t}_2 \in J$  with  $\hat{t}_1 \leq \hat{t}_2$ . As  $\hat{t}_1 \rightarrow \hat{t}_2$ , we have

$$\begin{aligned}
& \|(Nx)(\hat{t}_2) - (Nx)(\hat{t}_1)\| \\
& \leq \frac{1}{\Gamma(\beta)} \int_0^{\hat{t}_1} [(\hat{t}_1 - s)^{\beta-1} - (\hat{t}_2 - s)^{\beta-1}] \|g(s, x_s)\| ds \\
& \quad + \frac{1}{\Gamma(\beta)} \int_{\hat{t}_1}^{\hat{t}_2} (\hat{t}_2 - s)^{\beta-1} \|g(s, x_s)\| ds + \frac{1}{\Gamma(\alpha+\beta)} \int_{\hat{t}_1}^{\hat{t}_2} (\hat{t}_2 - s)^{\alpha+\beta-1} \|f(s, x_s)\| ds \\
& \quad + \frac{1}{\Gamma(\alpha+\beta)} \int_{\hat{t}_1}^{\hat{t}_2} [(\hat{t}_2 - s)^{\alpha+\beta-1} - (\hat{t}_1 - s)^{\alpha+\beta-1}] \|f(s, x_s)\| ds \\
& \quad + \frac{1}{\Gamma(\alpha+\beta)} \int_0^{\hat{t}_1} (\hat{t}_2 - s)^{\alpha+\beta-1} \|Bu_x(s)\| ds \\
& \quad + \frac{1}{\Gamma(\alpha+\beta)} \int_{\hat{t}_1}^{\hat{t}_2} [(\hat{t}_2 - s)^{\alpha+\beta-1} - (\hat{t}_1 - s)^{\alpha+\beta-1}] \|Bu_x(s)\| ds \\
& \leq \left[ \frac{1}{\Gamma(\beta)} \int_0^{\hat{t}_1} [(\hat{t}_1 - s)^{\beta-1} - (\hat{t}_2 - s)^{\beta-1}] (c_1(s) \|x_s\|_{\mathcal{D}} + c_2) ds \right. \\
& \quad + \frac{1}{\Gamma(\beta)} \int_{\hat{t}_1}^{\hat{t}_2} (\hat{t}_2 - s)^{\beta-1} (c_1(s) \|x_s\|_{\mathcal{D}} + c_2) ds \\
& \quad + \frac{1}{\Gamma(\alpha+\beta)} \int_0^{\hat{t}_1} [(\hat{t}_2 - s)^{\alpha+\beta-1} - (\hat{t}_1 - s)^{\alpha+\beta-1}] (c_3(s) \|x_s\|_{\mathcal{D}} + c_4) ds \\
& \quad \left. + \frac{1}{\Gamma(\alpha+\beta)} \int_{\hat{t}_1}^{\hat{t}_2} (\hat{t}_2 - s)^{\alpha+\beta-1} (c_3(s) \|x_s\|_{\mathcal{D}} + c_4) ds + \frac{M_1(\hat{t}_2^{\alpha+\beta} - \hat{t}_1^{\alpha+\beta})}{\Gamma(\alpha+\beta+1)} \|u_x\|_{L^\infty(J, R^m)} \right] \\
& \leq \frac{(\hat{c}_1 \mathcal{A} + c_2)}{\Gamma(\beta)} \int_0^{\hat{t}_1} [(\hat{t}_1 - s)^{\beta-1} - (\hat{t}_2 - s)^{\beta-1}] ds + \frac{(\hat{c}_1 \mathcal{A} + c_2)}{\Gamma(\beta)} \int_{\hat{t}_1}^{\hat{t}_2} (\hat{t}_2 - s)^{\beta-1} ds \\
& \quad + \frac{(\hat{c}_3 \mathcal{A} + c_4)}{\Gamma(\alpha+\beta)} \int_0^{\hat{t}_1} [(\hat{t}_2 - s)^{\alpha+\beta-1} - (\hat{t}_1 - s)^{\alpha+\beta-1}] ds \\
& \quad + \frac{(\hat{c}_3 \mathcal{A} + c_4)}{\Gamma(\alpha+\beta)} \int_{\hat{t}_1}^{\hat{t}_2} (\hat{t}_2 - s)^{\alpha+\beta-1} ds + \frac{M_1(\hat{t}_2^{\alpha+\beta} - \hat{t}_1^{\alpha+\beta})}{\Gamma(\alpha+\beta+1)} \|u_x\|_{L^\infty(J, R^m)} \\
& \leq \frac{(\hat{c}_1 \mathcal{A} + c_2)}{\Gamma(\beta+1)} (\hat{t}_1^\beta - \hat{t}_2^\beta + (\hat{t}_2 - \hat{t}_1)^\beta) + \frac{(\hat{c}_1 \mathcal{A} + c_2)(\hat{t}_2 - \hat{t}_1)^\beta}{\Gamma(\beta+1)} \\
& \quad + \frac{(\hat{c}_3 \mathcal{A} + c_4)}{\Gamma(\alpha+\beta+1)} (\hat{t}_2^{\alpha+\beta} - (\hat{t}_2 - \hat{t}_1)^{\alpha+\beta} + \hat{t}_1^{\alpha+\beta}) \\
& \quad + \frac{(\hat{c}_3 \mathcal{A} + c_4)}{\Gamma(\alpha+\beta+1)} (\hat{t}_2 - \hat{t}_1)^{\alpha+\beta} + \frac{M_1(\hat{t}_2^{\alpha+\beta} - \hat{t}_1^{\alpha+\beta})}{\Gamma(\alpha+\beta+1)} \|u_x\|_{L^\infty(J, R^m)} \rightarrow 0,
\end{aligned}$$

and

$$\begin{aligned}
& \|{}^C D^\beta [(Nx)(\hat{t}_2) - (Nx)(\hat{t}_1)]\| \\
& \leq \|g(\hat{t}_2, x_{\hat{t}_2}) - g(\hat{t}_2, x_{\hat{t}_1})\| + \|g(\hat{t}_2, x_{\hat{t}_1}) - g(\hat{t}_1, x_{\hat{t}_1})\| \\
& \quad + \frac{1}{\Gamma(\alpha)} \int_0^{\hat{t}_1} [(\hat{t}_2 - s)^{\alpha-1} - (\hat{t}_1 - s)^{\alpha-1}] \|f(s, x_s)\| ds \\
& \quad + \frac{1}{\Gamma(\alpha)} \int_{\hat{t}_1}^{\hat{t}_2} (\hat{t}_2 - s)^{\alpha-1} \|f(s, x_s)\| ds + \frac{1}{\Gamma(\alpha)} \int_0^{\hat{t}_1} (\hat{t}_2 - s)^{\alpha-1} \|Bu_x(s)\| ds \\
& \quad + \frac{1}{\Gamma(\alpha)} \int_{\hat{t}_1}^{\hat{t}_2} [(\hat{t}_1 - s)^{\alpha-1} - (\hat{t}_2 - s)^{\alpha-1}] \|Bu_x(s)\| ds \\
& \leq \|g(\hat{t}_2, x_{\hat{t}_2}) - g(\hat{t}_2, x_{\hat{t}_1})\| + \|g(\hat{t}_2, x_{\hat{t}_1}) - g(\hat{t}_1, x_{\hat{t}_1})\| \\
& \quad + \frac{1}{\Gamma(\alpha)} \int_0^{\hat{t}_1} [(\hat{t}_1 - s)^{\alpha-1} - (\hat{t}_2 - s)^{\alpha-1}] \|f(s, x_s)\| ds \\
& \quad + \frac{1}{\Gamma(\alpha)} \int_{\hat{t}_1}^{\hat{t}_2} (\hat{t}_2 - s)^{\alpha-1} \|f(s, x_s)\| ds + \frac{M_1(\hat{t}_2^\alpha - \hat{t}_1^\alpha)}{\Gamma(\alpha+1)} \|u_x\|_{L^\infty(J, R^n)} \\
& \leq \|g(\hat{t}_2, x_{\hat{t}_2}) - g(\hat{t}_2, x_{\hat{t}_1})\| + \|g(\hat{t}_2, x_{\hat{t}_1}) - g(\hat{t}_1, x_{\hat{t}_1})\| \\
& \quad + \frac{1}{\Gamma(\alpha)} \int_0^{\hat{t}_1} [(\hat{t}_1 - s)^{\alpha-1} - (\hat{t}_2 - s)^{\alpha-1}] (c_3(s) \|x_s\|_{\mathcal{D}} + c_4) ds \\
& \quad + \frac{1}{\Gamma(\alpha)} \int_{\hat{t}_1}^{\hat{t}_2} (\hat{t}_2 - s)^{\alpha-1} (c_3(s) \|x_s\|_{\mathcal{D}} + c_4) ds + \frac{M_1(\hat{t}_2^\alpha - \hat{t}_1^\alpha)}{\Gamma(\alpha+1)} \|u_x\|_{L^\infty(J, R^n)} \\
& \leq \|g(\hat{t}_2, x_{\hat{t}_2}) - g(\hat{t}_2, x_{\hat{t}_1})\| + \|g(\hat{t}_2, x_{\hat{t}_1}) - g(\hat{t}_1, x_{\hat{t}_1})\| + \frac{\mathcal{A}}{\Gamma(\alpha)} \int_{\hat{t}_1}^{\hat{t}_2} (\hat{t}_2 - s)^{\alpha-1} ds \\
& \quad + \frac{\mathcal{A}}{\Gamma(\alpha)} \int_0^{\hat{t}_1} [(\hat{t}_1 - s)^{\alpha-1} - (\hat{t}_2 - s)^{\alpha-1}] ds + \frac{M_1(\hat{t}_2^{\alpha+\beta} - \hat{t}_1^{\alpha+\beta})}{\Gamma(\alpha+\beta+1)} \|u_x\|_{L^\infty(J, R^n)} \rightarrow 0.
\end{aligned}$$

So we get

$$\begin{aligned} \lim_{\hat{t}_2 \rightarrow \hat{t}_1} \sup\{\|(Nx)(\hat{t}_2) - (Nx)(\hat{t}_1)\|\} &= 0, \\ \lim_{\hat{t}_2 \rightarrow \hat{t}_1} \sup\{\|{}^C D^\beta[(Nx)(\hat{t}_2) - (Nx)(\hat{t}_1)]\|\} &= 0, t \in J. \end{aligned}$$

In all, we can get

$$\lim_{\hat{t}_2 \rightarrow \hat{t}_1} \|(Nx)(\hat{t}_2) - (Nx)(\hat{t}_1)\|_\beta = 0, \hat{t}_1, \hat{t}_2 \in [-\tau, T].$$

By steps 1-3 and Ascoli-Arzela theorem, it follows that the operator  $N : C^\beta([-\tau, T], R^n) \rightarrow C^\beta([-\tau, T], R^n)$  is continuous and completely continuous.

4. The set  $K = \{x \in C^\beta([-\tau, T], R^n) : x = \lambda Nx, 0 < \lambda < 1\}$  is bounded. For any  $x \in K, x = \lambda Nx, 0 < \lambda < 1$ . So we get

$$\begin{aligned} x(t) = \lambda \left[ \phi(0) + [\mu - g(0, \phi_0)] \frac{t^\beta}{\Gamma(\beta+1)} + \frac{1}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} g(s, x_s) ds \right. \\ \left. + \frac{1}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} [f(s, x_s) + Bu_x(s)] ds \right], t \in J, \end{aligned} \tag{3.13}$$

and

$${}^C D^\beta x(t) = \lambda [g(t, x_t) + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} [f(s, x_s) + Bu_x(s)] ds], t \in J. \tag{3.14}$$

By  $(H'_1)$ , similar to the proof of the first step of theorem 3.2, we get

$$\begin{aligned} \|x(t)\| &\leq (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}} + c_2) \frac{t^\beta}{\Gamma(\beta+1)} \\ &\quad + \frac{1}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} [c_1(s)\|x_s\|_{\mathcal{D}} + c_2] ds \\ &\quad + \frac{1}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} [c_3(s)\|x_s\|_{\mathcal{D}} + c_4] ds \\ &\quad + \frac{1}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} \|B\| \|u_x(s)\| ds \\ &\leq (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}} + c_2) \frac{t^\beta}{\Gamma(\beta+1)} \\ &\quad + \frac{1}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} [c_1(s)(K(s) \sup\{\|x(\theta)\| : \theta \in [0, s]\}) \\ &\quad + M(s)\|\phi(0)\|_{\mathcal{D}} + c_2] ds \\ &\quad + \int_0^t (t-s)^{\alpha+\beta-1} [c_3(s)(K(s) \sup\{\|x(\theta)\| : \theta \in [0, s]\}) \\ &\quad + M(s)\|\phi(0)\|_{\mathcal{D}} + c_4] ds + \frac{M_1 T^{(\alpha+\beta)}}{\Gamma(\alpha+\beta+1)} \|u_x\|_{L^\infty(J, R^n)} \\ &\leq (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}} + c_2) \frac{t^\beta}{\Gamma(\beta+1)} + \frac{\hat{c}_1 M_T \|\phi(0)\|_{\mathcal{D}} T^\beta + c_2}{\Gamma(\beta+1)} \\ &\quad + \frac{\hat{c}_1 K_T}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} \sup\{\|x(\theta)\| : \theta \in [0, s]\} ds + \frac{\hat{c}_3 M_T \|\phi(0)\|_{\mathcal{D}} T^{(\alpha+\beta)} + c_4}{\Gamma(\alpha+\beta+1)} \\ &\quad + \frac{\hat{c}_3 K_T}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} \sup\{\|x(\theta)\| : \theta \in [0, s]\} ds \\ &\quad + \frac{M_1 M_2 T^{(\alpha+\beta)}}{\Gamma(\alpha+\beta+1)} \left\{ \|\phi(0)\|_{\mathcal{D}} + (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}}) \frac{T^\beta}{\Gamma(\beta+1)} \right. \\ &\quad \left. + \frac{1}{\Gamma(\beta)} \int_0^T (T-s)^{\beta-1} \|g(s, x_s)\| ds + \frac{1}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} \|f(s, x_s)\| ds \right\} \\ &\leq \frac{(\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}} + c_2) t^\beta}{\Gamma(\beta+1)} + \frac{\hat{c}_1 M_T \|\phi(0)\|_{\mathcal{D}} T^\beta + c_2}{\Gamma(\beta+1)} + \frac{\hat{c}_3 M_T \|\phi(0)\|_{\mathcal{D}} T^{(\alpha+\beta)} + c_4}{\Gamma(\alpha+\beta+1)} \\ &\quad + \frac{M_1 M_2 T^{(\alpha+\beta)}}{\Gamma(\alpha+\beta+1)} [\|\phi(0)\| + (\|\mu\| + c_1(0)\|\phi(0)\|_{\mathcal{D}}) \frac{T^\beta}{\Gamma(\beta+1)}] \end{aligned}$$

$$\begin{aligned}
 & + \frac{M_1 M_2 T^{(\alpha+\beta)}}{\Gamma(\alpha+\beta+1)} \left\{ \frac{\int_0^T (T-s)^{\beta-1} [c_1(s) \|x_s\|_{\mathcal{D}+c_2}] ds}{\Gamma(\beta)} + \frac{\int_0^T (T-s)^{\alpha+\beta-1} [c_3(s) \|x_s\|_{\mathcal{D}+c_4}] ds}{\Gamma(\alpha+\beta)} \right\} \\
 & + \frac{\hat{c}_1 K_T}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} \sup\{\|x(\theta)\| : \theta \in [0, s]\} ds \\
 & + \frac{\hat{c}_3 K_T}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} \sup\{\|x(\theta)\| : \theta \in [0, s]\} ds \\
 \leq & (\|\mu\| + c_1(0) \|\phi(0)\|_{\mathcal{D}} + c_2) \frac{t^\beta}{\Gamma(\beta+1)} + \frac{\hat{c}_1 M_T \|\phi(0)\|_{\mathcal{D}} T^{\beta+c_2}}{\Gamma(\beta+1)} \\
 & + \frac{\hat{c}_3 M_T \|\phi(0)\|_{\mathcal{D}} T^{(\alpha+\beta)+c_4}}{\Gamma(\alpha+\beta+1)} + \frac{M_1 M_2 T^{(\alpha+\beta)}}{\Gamma(\alpha+\beta+1)} [\|\phi(0)\| + (\|\mu\| + c_1(0) \|\phi(0)\|_{\mathcal{D}}) \frac{T^\beta}{\Gamma(\beta+1)}] \\
 & + \frac{M_1 M_2 T^{(\alpha+\beta)}}{\Gamma(\alpha+\beta+1)} \left[ \frac{(\hat{c}_1 M_T \|\phi(0)\|_{\mathcal{D}+c_2}) T^\beta}{\Gamma(\beta+1)} + \frac{(\hat{c}_3 M_T \|\phi(0)\|_{\mathcal{D}+c_4}) T^{\alpha+\beta}}{\Gamma(\alpha+\beta+1)} \right] \\
 & + \frac{M_1 M_2 T^{(\alpha+\beta)}}{\Gamma(\alpha+\beta+1)} \left[ \frac{\hat{c}_1 K_T}{\Gamma(\beta)} \int_0^T (T-s)^{\beta-1} \sup\{\|x(\theta)\| : \theta \in [0, s]\} ds \right. \\
 & + \frac{\hat{c}_3 K_T}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} \sup\{\|x(\theta)\| : \theta \in [0, s]\} ds \\
 & + \frac{\hat{c}_1 K_T}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} \sup\{\|x(\theta)\| : \theta \in [0, s]\} ds \\
 & \left. + \frac{\hat{c}_3 K_T}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} \sup\{\|x(\theta)\| : \theta \in [0, s]\} ds, t \in J. \right.
 \end{aligned} \tag{3.15}$$

Let

$$\nu(t) = \sup\{\|x(s)\| : s \in [0, t]\}, t \in J. \tag{3.16}$$

Then we get

$$\begin{aligned}
 \nu(t) \leq & (\|\mu\| + c_1(0) \|\phi(0)\|_{\mathcal{D}} + c_2) \frac{t^\beta}{\Gamma(\beta+1)} \\
 & + \frac{\hat{c}_1 M_T \|\phi(0)\|_{\mathcal{D}} T^{\beta+c_2}}{\Gamma(\beta+1)} + \frac{\hat{c}_3 M_T \|\phi(0)\|_{\mathcal{D}} T^{(\alpha+\beta)+c_4}}{\Gamma(\alpha+\beta+1)} \\
 & + \frac{M_1 M_2 T^{(\alpha+\beta)}}{\Gamma(\alpha+\beta+1)} [\|\phi(0)\| + (\|\mu\| + c_1(0) \|\phi(0)\|_{\mathcal{D}}) \frac{T^\beta}{\Gamma(\beta+1)}] \\
 & + \frac{M_1 M_2 T^{(\alpha+\beta)}}{\Gamma(\alpha+\beta+1)} \left[ \frac{(\hat{c}_3 M_T \|\phi(0)\|_{\mathcal{D}+c_4}) T^{(\alpha+\beta)}}{\Gamma(\alpha+\beta+1)} \right. \\
 & + \frac{(\hat{c}_1 M_T \|\phi(0)\|_{\mathcal{D}+c_2}) T^\beta}{\Gamma(\beta+1)} \left. \right] + \frac{M_1 M_2 T^{(\alpha+\beta)}}{\Gamma(\alpha+\beta+1)} \left[ \frac{\hat{c}_1 K_T}{\Gamma(\beta)} \int_0^T (T-s)^{\beta-1} \nu(s) ds \right. \\
 & + \frac{\hat{c}_3 K_T}{\Gamma(\alpha+\beta)} \int_0^T (T-s)^{\alpha+\beta-1} \nu(s) ds \left. \right] + \frac{\hat{c}_1 K_T}{\Gamma(\beta)} \int_0^t (t-s)^{\beta-1} \nu(s) ds \\
 & + \frac{\hat{c}_3 K_T}{\Gamma(\alpha+\beta)} \int_0^t (t-s)^{\alpha+\beta-1} \nu(s) ds, t \in J,
 \end{aligned} \tag{3.17}$$

By Lemma 2.1 (Lemma 1 [69]), there exists a positive constant  $\hat{L}$  such that  $t \in J, \|x(t)\| \leq \nu(t) \leq \hat{L}$ . Thus

$$\begin{aligned}
 \|{}^C D^\beta x(t)\| & = \|g(t, x_t) + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} [f(s, x_s) + Bu_x(s)] ds\| \\
 & \leq c_1(t) \|x_t\|_{\mathcal{D}} + c_2 + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} [\|f(s, x_s)\| + \|Bu_x(s)\|] ds \\
 & \leq \hat{c}_1 \|x_t\|_{\mathcal{D}} + c_2 + \frac{1}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} [c_3(s) \|x_s\|_{\mathcal{D}} + c_4] ds \\
 & \quad + \frac{M_1 \|u_x(t)\|_{L^\infty(J, R^m)} T^\alpha}{\Gamma(\alpha+1)} \\
 & \leq \hat{c}_1 K_T \sup\{\|x(\theta)\| : \theta \in [0, t]\} + \hat{c}_1 M_T \|\phi(0)\|_{\mathcal{D}} + c_2 \\
 & \quad + \frac{\hat{c}_3 K_T}{\Gamma(\alpha)} \int_0^t (t-s)^{\alpha-1} \sup\{\|x(\theta)\| : \theta \in [0, s]\} ds \\
 & \quad + \frac{(\hat{c}_3 M_T + c_4) T^\alpha}{\Gamma(\alpha+1)} + \frac{M \|u_x\|_{L^\infty(J, R^m)} T^\alpha}{\Gamma(\alpha+1)} =: L', t \in J,
 \end{aligned}$$

so  $\|x(t)\| \leq \hat{L}, \|{}^C D^\beta x(t)\| \leq \hat{L}', t \in J$ , and  $\|x\|_\beta \leq \|\phi\|_{\mathcal{D}} + \hat{L} + \hat{L}'$ , i.e  $K$  is a bounded set.

By using the Schaefer fixed point theorem, the operator  $N$  has a fixed point, which is the solution of problem (1.4). So (1.4) is controllable on the interval  $J$ . □

**Remark 3.2.** If  $Bu(t) = 0$ , as the proof of Theorem 3.4, we can get the existence of solution of problem (1.3) in the case of finite delay.

**Example 3.1.** Let  $\mathcal{D} = \{y \in C(I, R) : \lim_{s \rightarrow -\infty} \exp(\gamma s)y(s) \text{ exists in } R, \gamma > 0\}$  with the norm  $\|y\|_{\mathcal{D}} = \sup_{s \leq 0} \{\exp(\gamma s)\|y(s)\|\}$ . Then,  $\mathcal{D}$  satisfies the assumptions in [70] with  $K(t) = M(t) = 1$ .

Consider the following neutral fractional functional differential equations with two Caputo fractional derivaives as a special case of Eq.(1.4) with  $n = 1$ ,

$$\begin{cases} {}^C D^{0.8} \left[ {}^C D^{0.6} x(t) - \frac{\int_{-\infty}^{-t} \exp(\gamma\theta) \exp(t + \theta)x(t + \theta)d\theta}{100(1 + t)(2 + t)} \right] \\ = \frac{\int_{-\infty}^{-t} \exp(\gamma\theta) \exp(t + \theta)x(t + \theta)d\theta}{60(1 + t^2)(2 + t^2)} + Bu(t), t \in [0, 1] = J, \\ x(t) = \phi(t) \in \mathcal{D}, t \in (-\infty, 0] = I, \end{cases} \quad (4.1)$$

where

$$\begin{aligned} g(t, x_t) &= \frac{\int_{-\infty}^{-t} \exp(\gamma\theta) \exp(t + \theta)x(t + \theta)d\theta}{100(1 + t)(2 + t)}, \\ f(t, x_t) &= \frac{\int_{-\infty}^{-t} \exp(\gamma\theta) \exp(t + \theta)x(t + \theta)d\theta}{60(1 + t^2)(2 + t^2)}, t \in [0, 1] = J, x_t \in \mathcal{D}, \\ Bu(t) &= u(t), B = I. \end{aligned}$$

Then, we get

$$\begin{aligned} \|g(t, x) - g(t, y)\| &\leq \frac{\int_{-\infty}^{-t} \exp(\gamma\theta) \exp(t + \theta)\|x(t + \theta) - y(t + \theta)\|d\theta}{100(1 + t)(2 + t)} \\ &\leq \frac{\|x - y\|_{\mathcal{D}}}{100\gamma(1 + t)(2 + t)} \leq \frac{\|x - y\|_{\mathcal{D}}}{100\gamma}, \\ \|f(t, x) - f(t, y)\| &\leq \frac{\int_{-\infty}^{-t} \exp(\gamma\theta) \exp(t + \theta)\|x(t + \theta) - y(t + \theta)\|d\theta}{60(1 + t^2)(2 + t^2)} \\ &\leq \frac{\|x - y\|_{\mathcal{D}}}{60\gamma(1 + t^2)(2 + t^2)} \leq \frac{\|x - y\|_{\mathcal{D}}}{60\gamma}, \end{aligned}$$

where  $c_1(t) = \frac{1}{100\gamma} \in L^2(J, R), c_3(t) = \frac{1}{60\gamma} \in L^2(J, R), i = 1, 3, q_1 = 0.5 \in [0, 0.6)$  and  $q_3 = 0.5 \in [0, 0.8)$ . Then, the conditions  $(H_1), (H_2)$  and  $(H_3)$  in Theorem 3.2 are satisfied. So, (4.1) is controllable on the interval  $J$  by Theorem 3.2. Also, the existence of a unique solution of (4.1) without the control function  $u$  is obtained by Theorem 3.2.

Let  $c_1(t) = \frac{1}{100\gamma(1 + t)(2 + t)}, c_3(t) = \frac{1}{60\gamma(1 + t^2)(2 + t^2)}$ . Then  $(H'_1), (H'_2)$  and  $(H'_3)$  in Theorem 3.3 are satisfied. So, (4.1) is controllable on the interval  $J$  by Theorem 3.3.



## 4. Conclusions

In this paper, the controllability of a class of neutral fractional functional differential equations with two derivatives of Caputo type on a bounded interval under Lipschitz conditions is considered. The methods in this paper are effective. We will consider the existence results of solutions and controllability results under non-Lipschitz conditions for fractional differential equations(including R-L type) in the future.

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