

Regularity and Convergence for the Fourth-Order Helmholtz Equations and an Application

LI Jing¹, PENG Weimin² and WANG Yue^{1,*}

¹ Center for Applied Mathematics, Tianjin University, Tianjin 300072, China.

² College of Science, University of Shanghai for Science and Technology, Shanghai 200093, China.

Received 4 October 2022; Accepted 9 June 2023

Abstract. We study the regularity and convergence of solutions for the n -dimensional ($n = 2, 3$) fourth-order vector-valued Helmholtz equations

$$\mathbf{u} - \beta \Delta \mathbf{u} + \gamma (-\Delta)^2 \mathbf{u} = \mathbf{v} \quad (\text{VFHE})$$

for a given \mathbf{v} in several Sobolev spaces, where $\beta > 0$ and $\gamma > 0$ are two given constants. By making use of the Fourier multiplier theorem, we establish the regularity and the $L^p - L^q$ estimates of solutions for Eq. (VFHE) under the condition $\mathbf{v} \in L^p(\mathbb{R}^n)$. We then derive the convergence that a solution \mathbf{u} of Eq. (VFHE) approaches \mathbf{v} weakly in $L^p(\mathbb{R}^n)$ and strongly in $L^q(\mathbb{R}^n)$ as the parameter pair (β, γ) approaches $(0, 0)$. In particular, as an application of the above results, for (\mathbf{v}, \mathbf{u}) solving the following viscous incompressible fluid equations

$$\begin{cases} \mathbf{v}_t + \mathbf{u} \cdot \nabla \mathbf{v} + \mathbf{v} \cdot \nabla \mathbf{u}^T + \nabla p = \nu \Delta \mathbf{v}, \\ \operatorname{div} \mathbf{v} = \operatorname{div} \mathbf{u} = 0, \end{cases} \quad (\text{INS})$$

we gain the strong convergence in $L^\infty([0, T], L^s(\mathbb{R}^n))$ from the Eqs. (VFHE)-(INS) to the Navier-Stokes equations as the parameter pair (β, γ) tending to $(0, 0)$, where $s = \frac{2h}{h-2}$ with $h > n$.

AMS Subject Classifications: 35J05, 35J35

Chinese Library Classifications: 0175.27

Key Words: Fourier multiplier theorem; fourth-order Helmholtz equation; regularity; convergence.

*Corresponding author. *Email addresses:* wangy2017@tju.edu.cn (Y. Wang), lijingabc@tju.edu.cn (J. Li), weiminpeng7@usst.edu.cn (W. M. Peng)

1 Introduction

For $v = \Gamma|u|^{p-2}u$ ($p > 2$) and $\gamma = 1$, Bonheure, Casteras and Mandel in [1] previously adopted the dual method to establish the regularity of solutions for a fourth-order non-linear Helmholtz equation:

$$\alpha u - \beta \Delta u + \gamma (-\Delta)^2 u = v, \quad (\text{FOHE})$$

under three cases: (a) $\alpha < 0$, $\beta \in \mathbb{R}$ or (b) $\alpha > 0$, $\beta < -2\sqrt{\alpha}$ or (c) $\alpha = 0$, $\beta < 0$. But for the case of $\alpha > 0$ and $\beta > 0$, there has no any result concerning the regularity of solutions for Eq. (FOHE). Without loss of generality, we study here the following fourth-order equations with vector-valued unknowns in \mathbb{R}^n ($n = 2, 3$):

$$\mathbf{u} - \beta \Delta \mathbf{u} + \gamma (-\Delta)^2 \mathbf{u} = \mathbf{v}, \quad (1.1)$$

where $\alpha = 1 > 0$, β and γ are two given positive parameters ($\beta > 0$, $\gamma > 0$). In particular, for (\mathbf{v}, \mathbf{u}) solving the viscous incompressible Navier-Stokes type equations

$$\begin{cases} \mathbf{v}_t + \mathbf{u} \cdot \nabla \mathbf{v} + \mathbf{v} \cdot \nabla \mathbf{u}^T + \nabla p = \nu \Delta \mathbf{v}, \\ \operatorname{div} \mathbf{v} = \operatorname{div} \mathbf{u} = 0, \end{cases} \quad (1.2)$$

(1.1)-(1.2) are generally called as Camassa-Holm equations with a fourth-order Helmholtz operator. This kind of equations with a second-order Helmholtz operator ((1.1)-(1.2) with $\gamma = 0$) was first derived by Camassa and Holm [2] in 1993 in the study of shallow water equations. When $\gamma = 0$, β is the parameter in the filter equations [2, 3]. In order to regularize and stabilize the solutions of the second-order Helmholtz equations

$$\mathbf{u} - \beta \Delta \mathbf{u} = \mathbf{v},$$

(1.1) was introduced, where $\gamma > 0$ can be viewed as a regularizing parameter in some sense (see also [1]).

There have been some results on the Helmholtz type equations [1, 3–7]. In particular, Bonheure, Casteras and Mandel in [1] as well as Bonheure and Nascimento [3] established the existence and the qualitative properties of solutions to a mixed dispersion fourth-order nonlinear Helmholtz type equation, where the dual method used by Evéquoz and Weth in [6] was applied to establish the existence and regularity of solutions to these Helmholtz equations. On the other hand, using Calderón-Zygmund theorem and some estimates on the heat kernel, the existence and regularity for the second-order Helmholtz equations can be easily obtained which was mentioned in [8].

The aim in this paper is to investigate the regularity of the fourth-order Helmholtz equations (1.1) with the help of the Fourier multiplier theorem. Based on the fourth-order structure, we establish the regularity as well as some a priori estimates concerning the $L^p - L^q$ estimates for the fourth-order Helmholtz equations (1.1). We then derive the convergence that a solution \mathbf{u} of the equations (1.1) approaches \mathbf{v} weakly in $L^p(\mathbb{R}^n)$ and